

Fairness, Place/Transition Invariants, and Siphons and Traps

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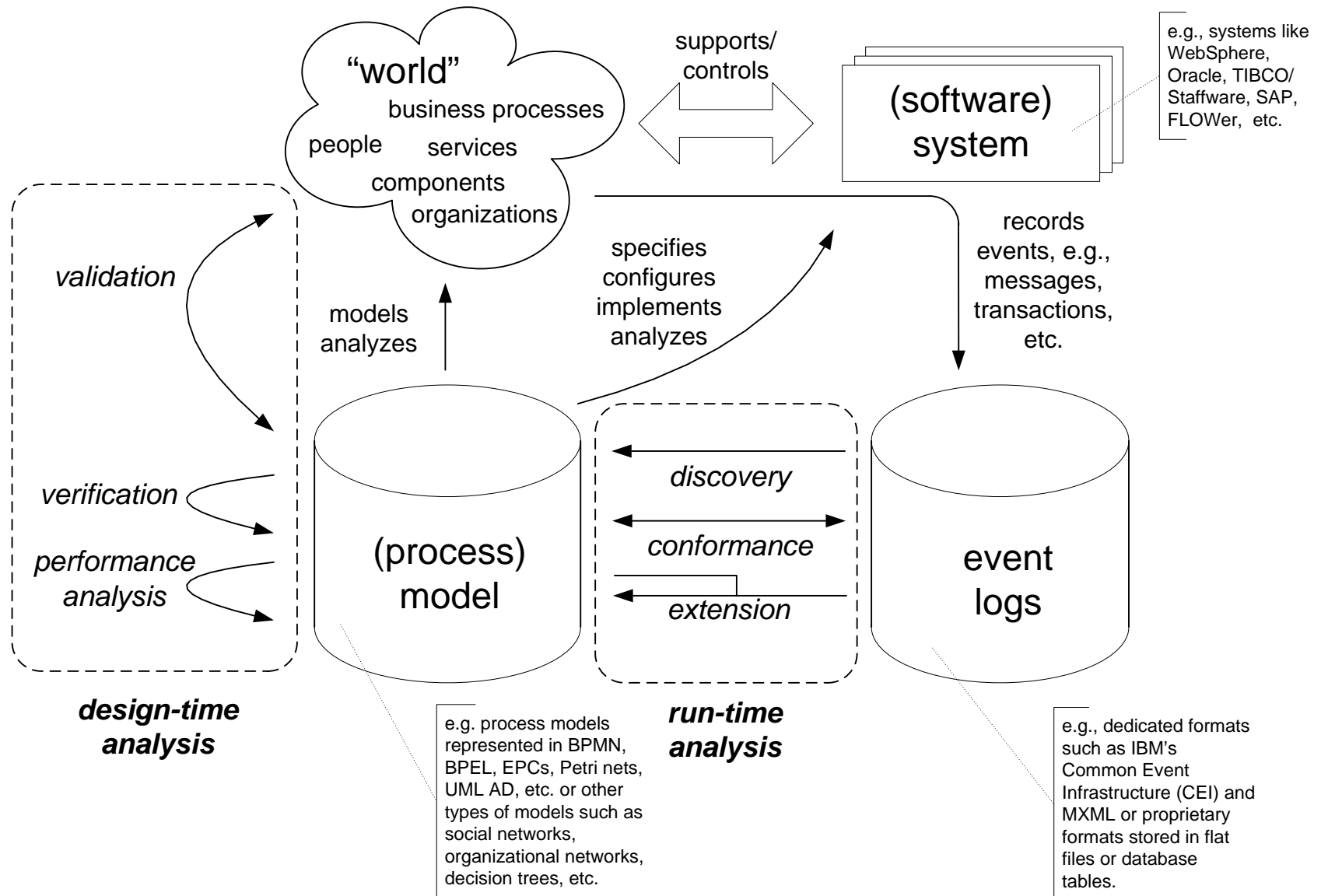


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Design-time analysis vs run-time analysis



Outline

- **Fairness**
- **Place/transition invariants**
- **Siphons and traps**

Relevant material

1. Jörg Desel, Wolfgang Reisig: Place/Transition Petri Nets. Petri Nets 1996: 122-173. DOI: [10.1007/3-540-65306-6_15](https://doi.org/10.1007/3-540-65306-6_15)
<http://www.springerlink.com/content/x6hn592l35866lu8/fulltext.pdf>
2. Tadao Murata, Petri Nets: Properties, Analysis and Applications, Proceedings of the IEEE. 77(4): 541-580, April, 1989. <http://dx.doi.org/10.1109/5.24143>
<http://ieeexplore.ieee.org/iel1/5/911/00024143.pdf>
3. Wil van der Aalst: Process Mining: Discovery, Conformance and Enhancement of Business Processes, Springer Verlag 2011 (chapters 1 & 5)
 - a) Chapter 1: DOI: [10.1007/978-3-642-19345-3_1](https://doi.org/10.1007/978-3-642-19345-3_1)
<http://www.springerlink.com/content/p443h219v3u3537l/fulltext.pdf>
 - b) Chapter 5: DOI: [10.1007/978-3-642-19345-3_5](https://doi.org/10.1007/978-3-642-19345-3_5)
<http://www.springerlink.com/content/u58h17n3167p0x1u/fulltext.pdf>
 - c) Events logs: <http://www.processmining.org/book/>

Today's focus is on 1 & 2.

Fairness



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Properties defined earlier ...

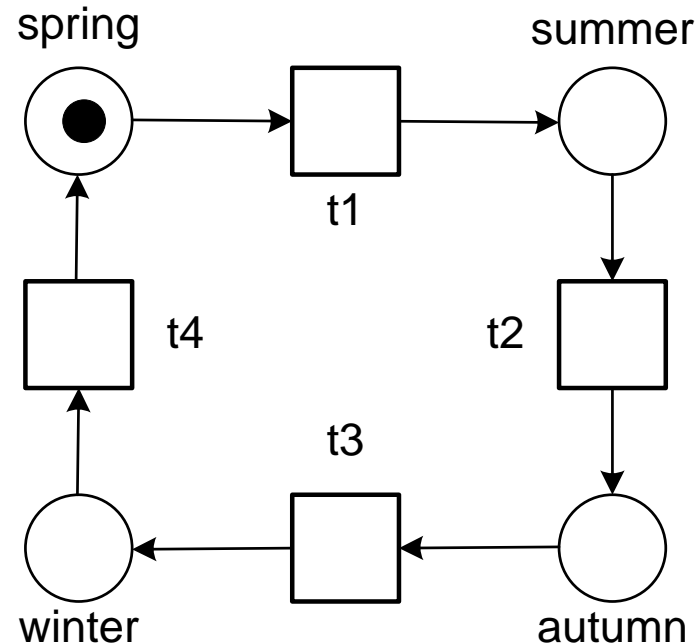
Definition 9 (Basic properties). Let $N = (P, T, F, W)$ be a Petri net and $M \in \mathcal{B}(P)$ be a marking.

- (N, M) is terminating if and only if there is a $k \in \mathbb{N}$ such that $|\sigma| \leq k$ for any firing sequence σ (i.e., $(N, M)[\sigma]$).
- (N, M) is deadlock-free if and only if for any $M' \in R(N, M)$ there exists a transition t such that $(N, M')[t]$.
- (N, M) is live if and only if for any $t \in T$ and any $M' \in R(N, M)$ there exists a $M'' \in R(N, M')$ such that $(N, M'')[t]$.
- (N, M) is bounded if and only if there is a $k \in \mathbb{N}$ such that for any $M' \in R(N, M)$ and any $p \in P$: $M'(p) \leq k$.
- (N, M) is safe if and only if for any $M' \in R(N, M)$ and any $p \in P$: $M'(p) \leq 1$.
- (N, M) is reversible if and only if for any $M' \in R(N, M)$: $M \in R(N, M')$.

We use the fairness notions also used by CPN Tools

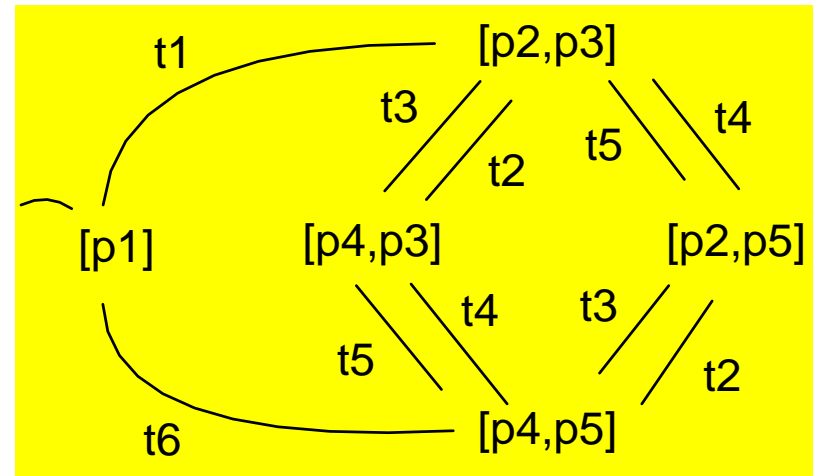
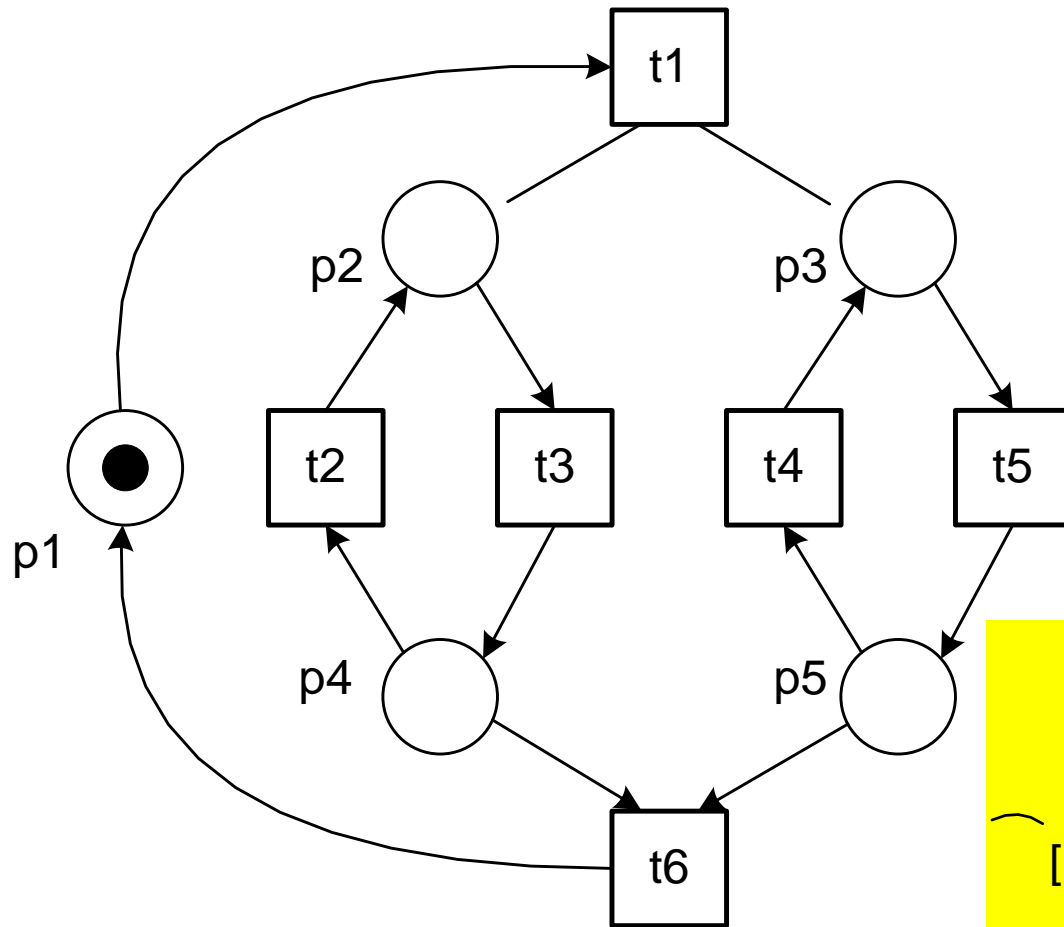
- Fairness is only relevant if there are **Infinite Firing Sequences (IFS)**, otherwise CPN Tools reports: "no infinite occurrence sequences".
- Given a transition t it is often desirable that t appears infinitely often in an IFS.
- Properties reported by CPN Tools
 - **t is impartial**: t occurs infinitely often in every IFS.
 - **t is fair**: t occurs infinitely often in every IFS where t is enabled infinitely often.
 - **Just**: t occurs infinitely often in every IFS where t is continuously enabled from some point onward
 - **No fairness**: not just, i.e., there is an IFS where t is continuously enabled from some point onward and does not fire anymore.

Example (1)

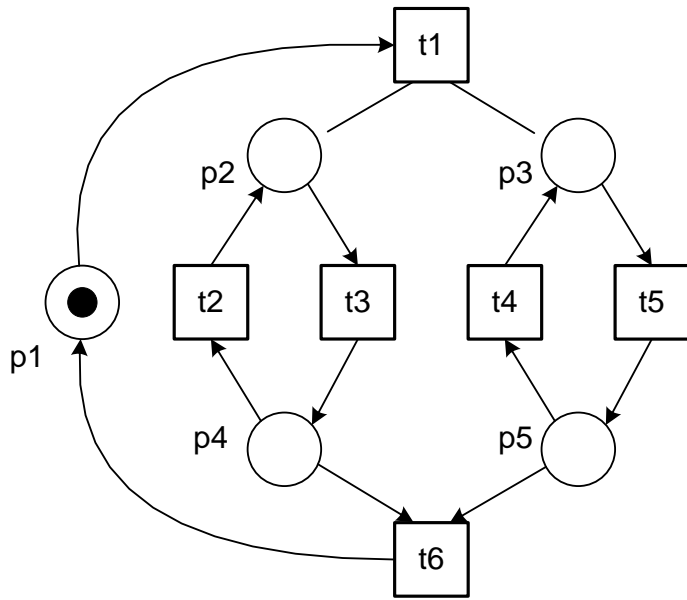


All transitions are **impartial**, i.e., they occur infinitely often in every IFS.

Example (2) Scheibenwischer



Fairness properties

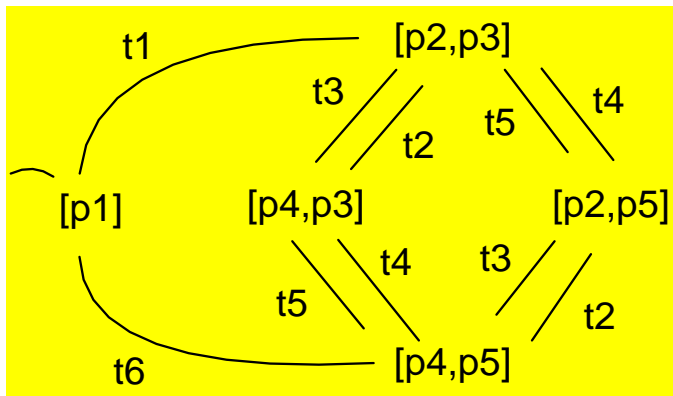


Transition **t1** is **fair**, i.e., t1 occurs infinitely often in every IFS where t1 is enabled infinitely often.

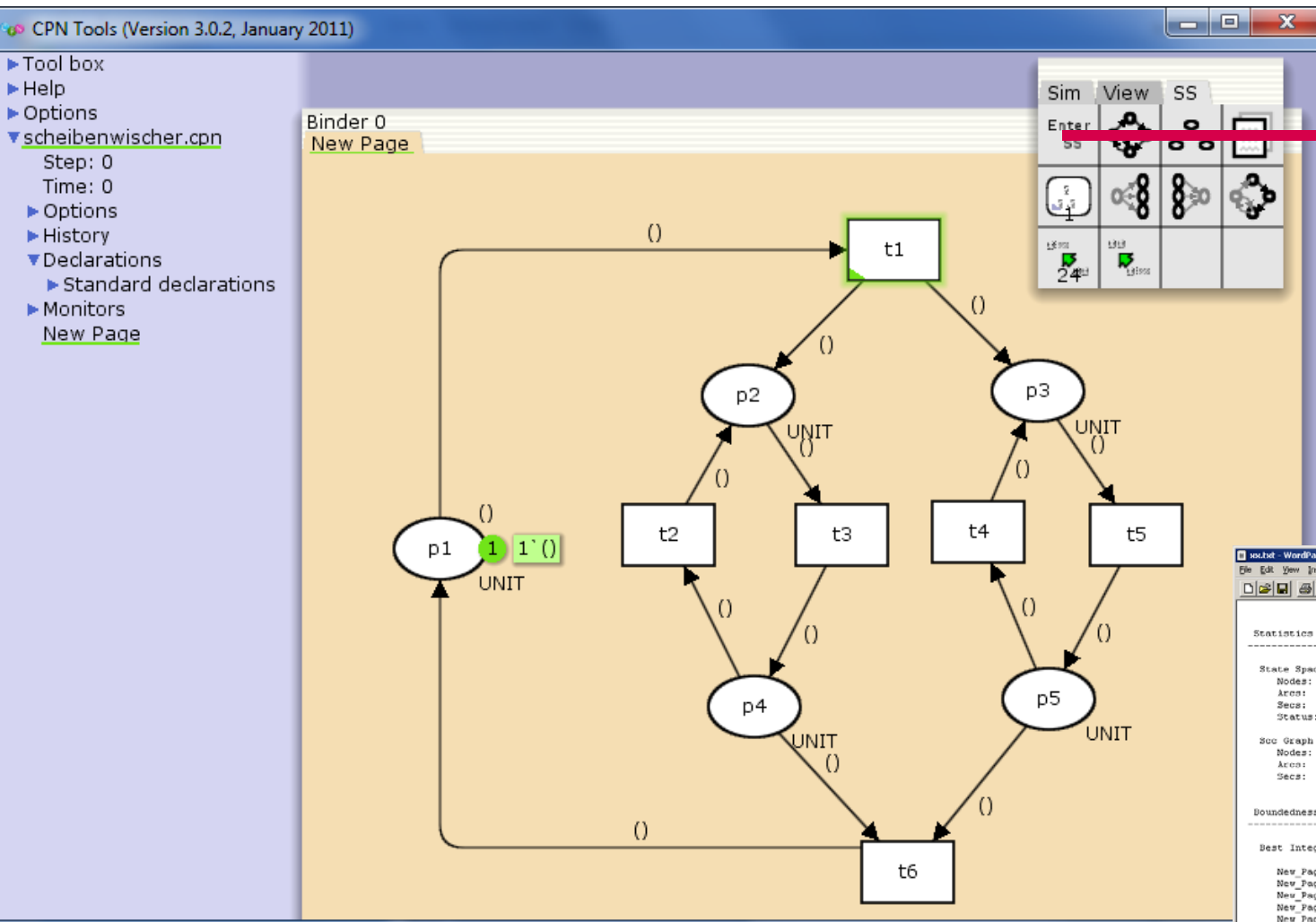
Transition **t2** has **no fairness** (i.e., not even just). Even if t2 is enabled continuously it does not need to fire.

Transition **t3**, **t4**, and **t5** also have **no fairness**.

Transition **t6** is **just**, i.e., t6 occurs infinitely often in every IFS where t6 is continuously enabled from some point onward.



CPN Tools



WordPad

File Edit View Insert Format Help

Statistics

State Space

Nodes: 5
Arcs: 10
Seus: 0
Status: Full

Non Graph

Nodes: 1
Arcs: 0
Seus: 0

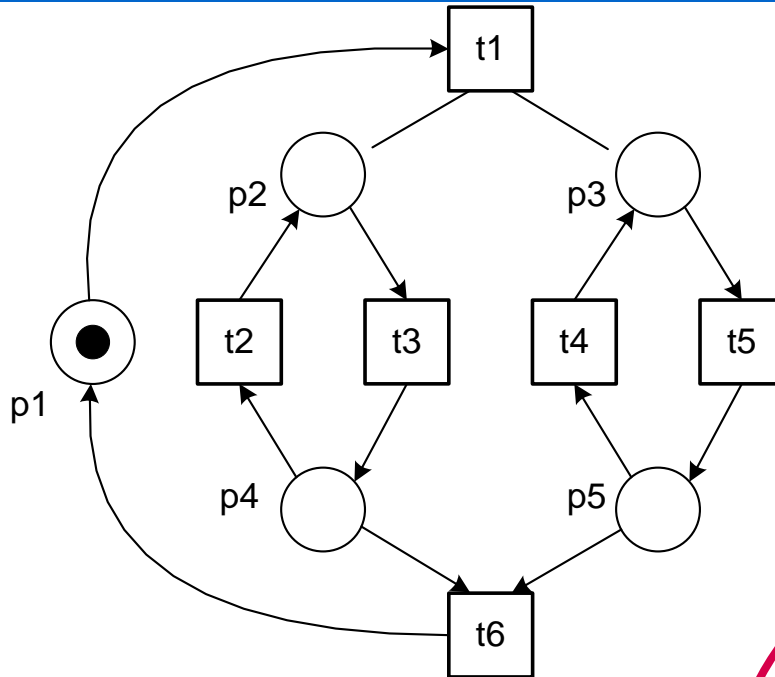
Boundedness Properties

Best Integer Bounds		
	Upper	Lower
New_Page:p1 1	1	0
New_Page:p2 1	1	0
New_Page:p3 1	1	0
New_Page:p4 1	1	0
New_Page:p5 1	1	0

Best Upper Multi-set Bounds		
	Upper	Lower
New_Page:p1 1	1	1
New_Page:p2 1	1	1
New_Page:p3 1	1	1
New_Page:p4 1	1	1
New_Page:p5 1	1	1

For Help, press F1

Results



- t1 Fair
- t2 No Fairness
- t3 No Fairness
- t4 No Fairness
- t5 No Fairness
- t6 Just

New_Page'p1 1`()
 New_Page'p2 1`()
 New_Page'p3 1`()
 New_Page'p4 1`()
 New_Page'p5 1`()

Best Lower Multi-set Bounds

New_Page'p1 1 empty
 New_Page'p2 1 empty
 New_Page'p3 1 empty
 New_Page'p4 1 empty
 New_Page'p5 1 empty

Home Properties

Home Markings
 All

Liveness Properties

Dead Markings
 None

Dead Transition Instances
 None

Live Transition Instances
 All

Fairness Properties

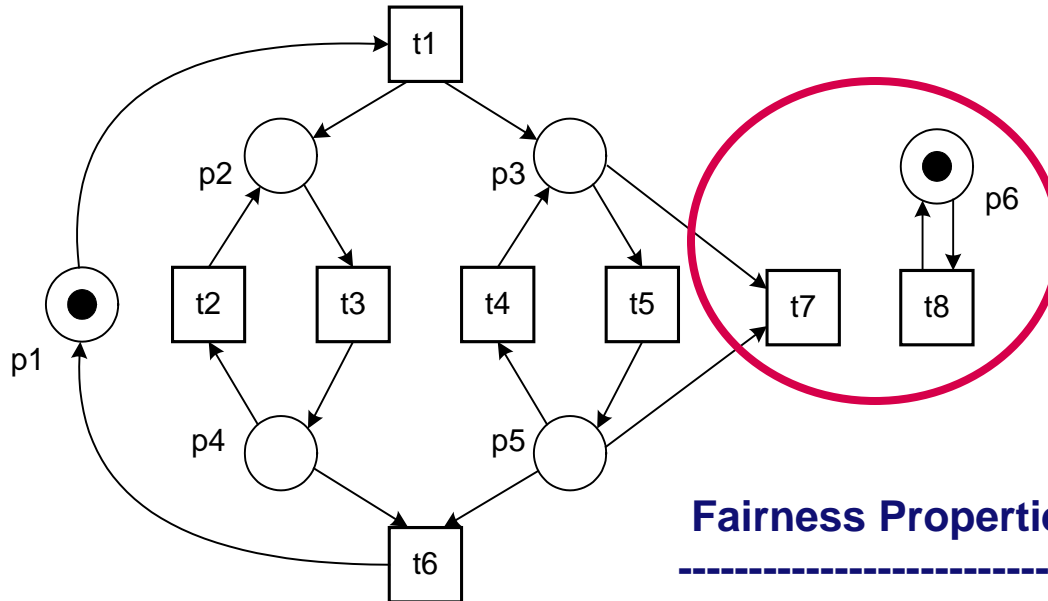
Impartial Transition Instances
 None

Fair Transition Instances
 New_Page't1 1

Just Transition Instances
 New_Page't6 1

Transition Instances with No Fairness
 New_Page't2 1
 New_Page't3 1
 New_Page't4 1
 New_Page't5 1

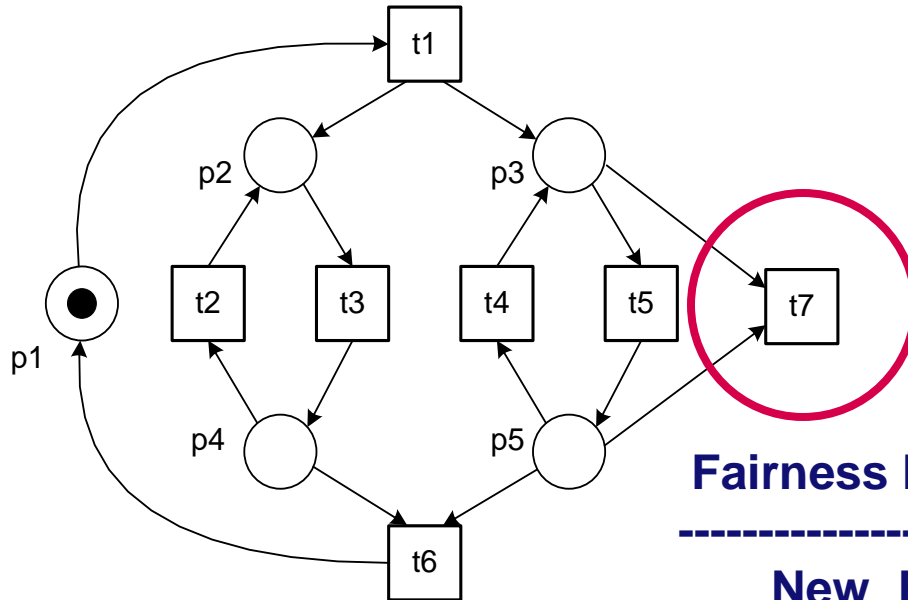
More results



Fairness Properties

<code>New_Page't1 1</code>	No Fairness
<code>New_Page't2 1</code>	No Fairness
<code>New_Page't3 1</code>	No Fairness
<code>New_Page't4 1</code>	No Fairness
<code>New_Page't5 1</code>	No Fairness
<code>New_Page't6 1</code>	No Fairness
<code>New_Page't7 1</code>	Fair
<code>New_Page't8 1</code>	No Fairness

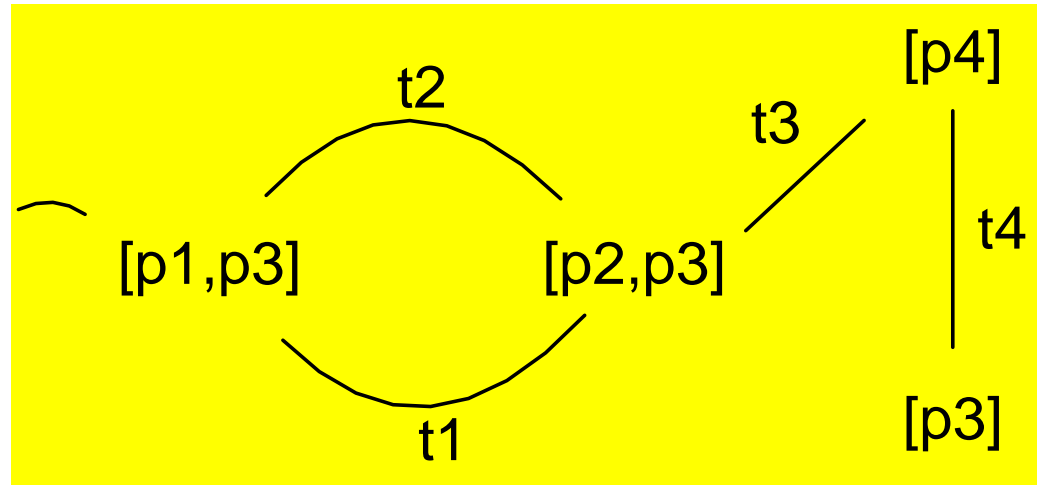
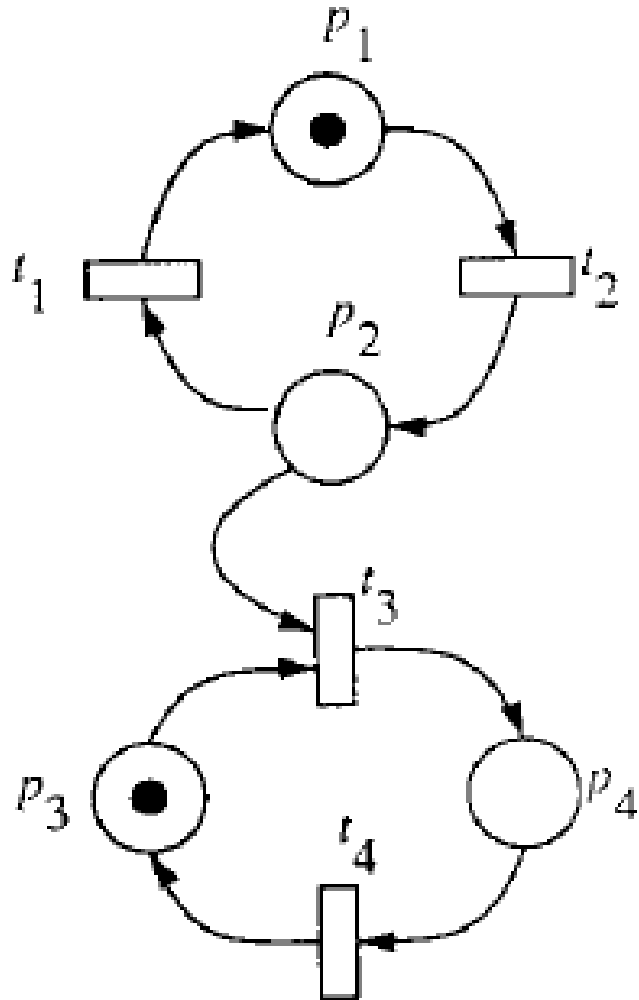
More results



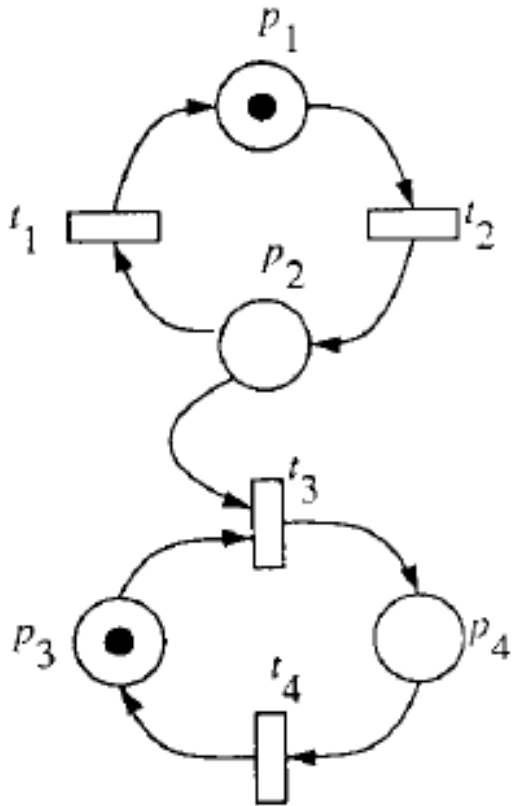
Fairness Properties

New_Page't1 1	Fair
New_Page't2 1	No Fairness
New_Page't3 1	No Fairness
New_Page't4 1	No Fairness
New_Page't5 1	No Fairness
New_Page't6 1	Just
New_Page't7 1	Fair

Example (3)



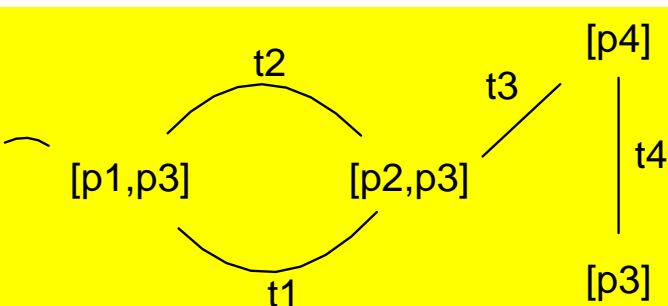
Solution



Transitions **t1** and **t2** are **impartial**, i.e., they occur infinitely often in every IFS (when t_3 fires there is no IFS).

Transition **t3** is **just**, i.e., t_3 occurs infinitely often in every IFS where t_3 is continuously enabled from some point onward. (Note that in the only IFS t_3 is enabled infinitely often but does not fire. Hence t_3 is not fair. However, t_3 is not enabled continuously and thus just.)

Transition **t4** is **fair**, i.e., t_4 occurs infinitely often in every IFS where t_4 is enabled infinitely often. (This never happens.)



Results CPN Tools

CPN Tools (Version 2.2.0 - September 2006)

- Tool box
 - Auxiliary
 - Create
 - Hierarchy
 - Monitoring
 - Net
 - Simulation
 - State space
 - Style
 - View
- Help
- Options
- xx.cpn
 - Step: 0
 - Time: 0
 - Options
 - History
- Declarations
 - Standard declarations
 - Monitors
 - New Page

Binder 0
New Page

```
graph TD; t1[t1] -- 0 --> p1((p1)); p1 -- 0 --> t2[t2]; t2 -- 0 --> p2((p2)); p2 -- 0 --> t1; p2 -- UNIT --> t3[t3]; t3 -- 0 --> p3((p3)); p3 -- UNIT --> t4[t4]; t4 -- 0 --> p4((p4)); p4 -- UNIT --> t3
```

None

xx.txt - WordPad

File Edit View Insert Format Help

Liveness Properties

Dead Markings
[4]

Dead Transition Instances
None

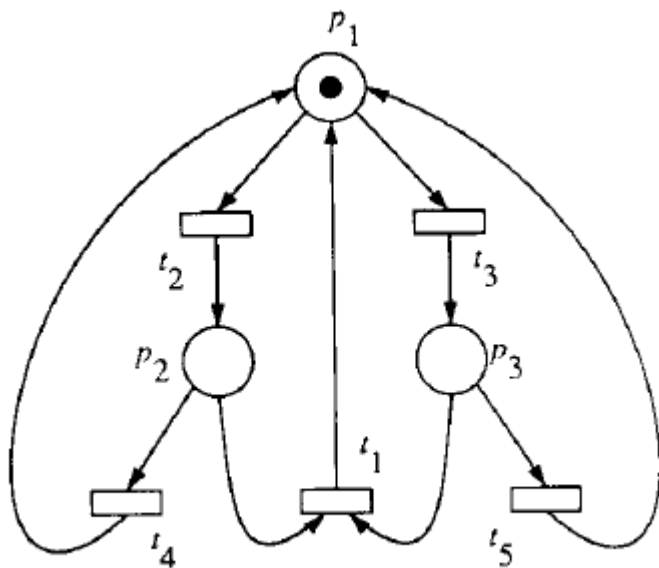
Live Transition Instances
None

Fairness Properties

New_Page't1	1	Impartial
New_Page't2	1	Impartial
New_Page't3	1	Just
New_Page't4	1	Fair

For Help, press F1

Example (4)



Transitions **t1, t4 and t5 are fair**, i.e., $t_1/t_4/t_5$ occurs infinitely often in every IFS where $t_1/t_4/t_5$ is enabled infinitely often. (t_1 is never enabled and t_4/t_5 will fire infinitely often if enabled infinitely often.)

Transitions **t2 and t3 are just**, i.e., t_2/t_3 occurs infinitely often in every IFS where t_2/t_3 is continuously enabled from some point onward. (t_2/t_3 are not enabled continuously and thus just.)

No transition is impartial, i.e., for any transition there is an IFS where it does not occur.

CPN Tools

xx.txt - WordPad

File Edit View Insert Format Help

New_Page'p2 1 1`()
New_Page'p3 1 1`()

Best Lower Multi-set Bounds
New_Page'p1 1 empty
New_Page'p2 1 empty
New_Page'p3 1 empty

Home Properties

Home Markings
All

Liveness Properties

Dead Markings
None

Dead Transition Instances
New_Page't1 1

Live Transition Instances
New_Page't2 1
New_Page't3 1
New_Page't4 1
New_Page't5 1

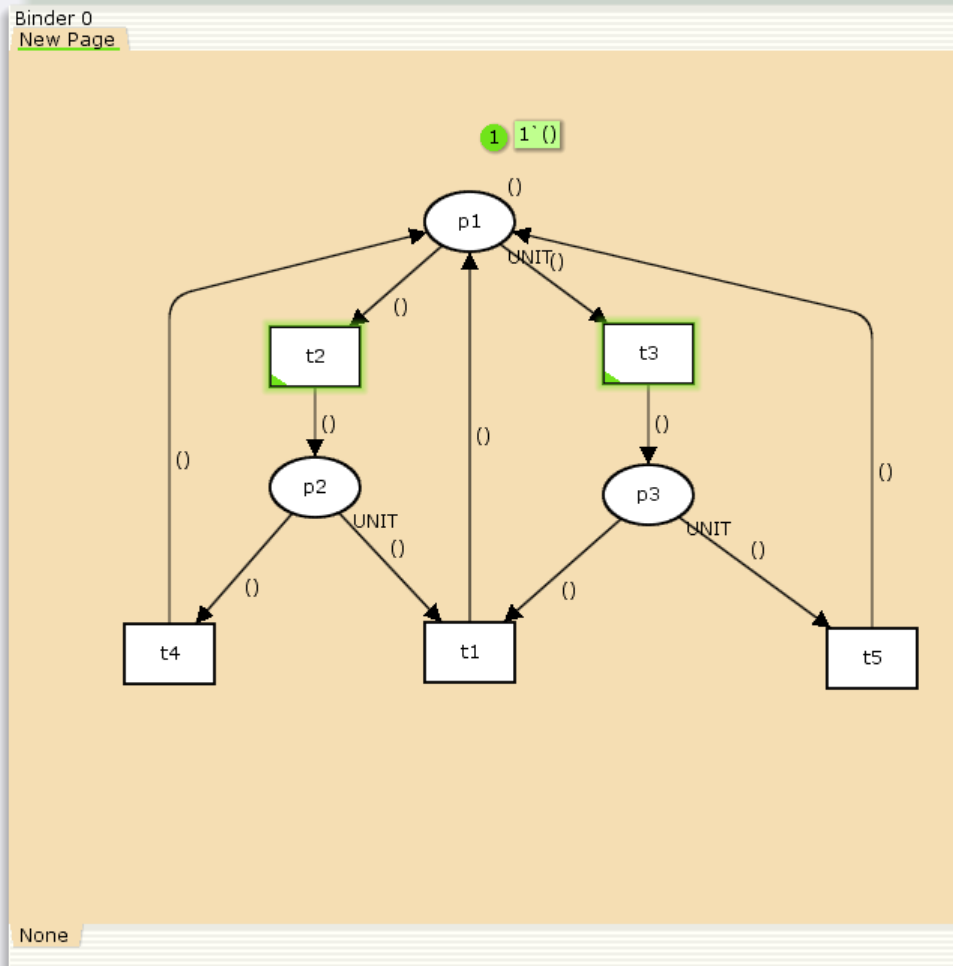
Fairness Properties

New_Page't1 1 Fair
New_Page't2 1 Just
New_Page't3 1 Just
New_Page't4 1 Fair
New_Page't5 1 Fair

For Help, press F1

CPN Tools (Version 2.2.0 - September 2006)

- Tool box
 - ▶ Auxiliary
 - ▶ Create
 - ▶ Hierarchy
 - ▶ Monitoring
 - ▶ Net
 - ▶ Simulation
 - ▶ State space
 - ▶ Style
 - ▶ View
- Help
 - ▶ Options
- xx.cpn
 - Step: 0
 - Time: 0
 - ▶ Options
 - ▶ History
 - Declarations
 - ▶ Standard declarations
 - Monitors
 - New Page



Place/Transition Invariants

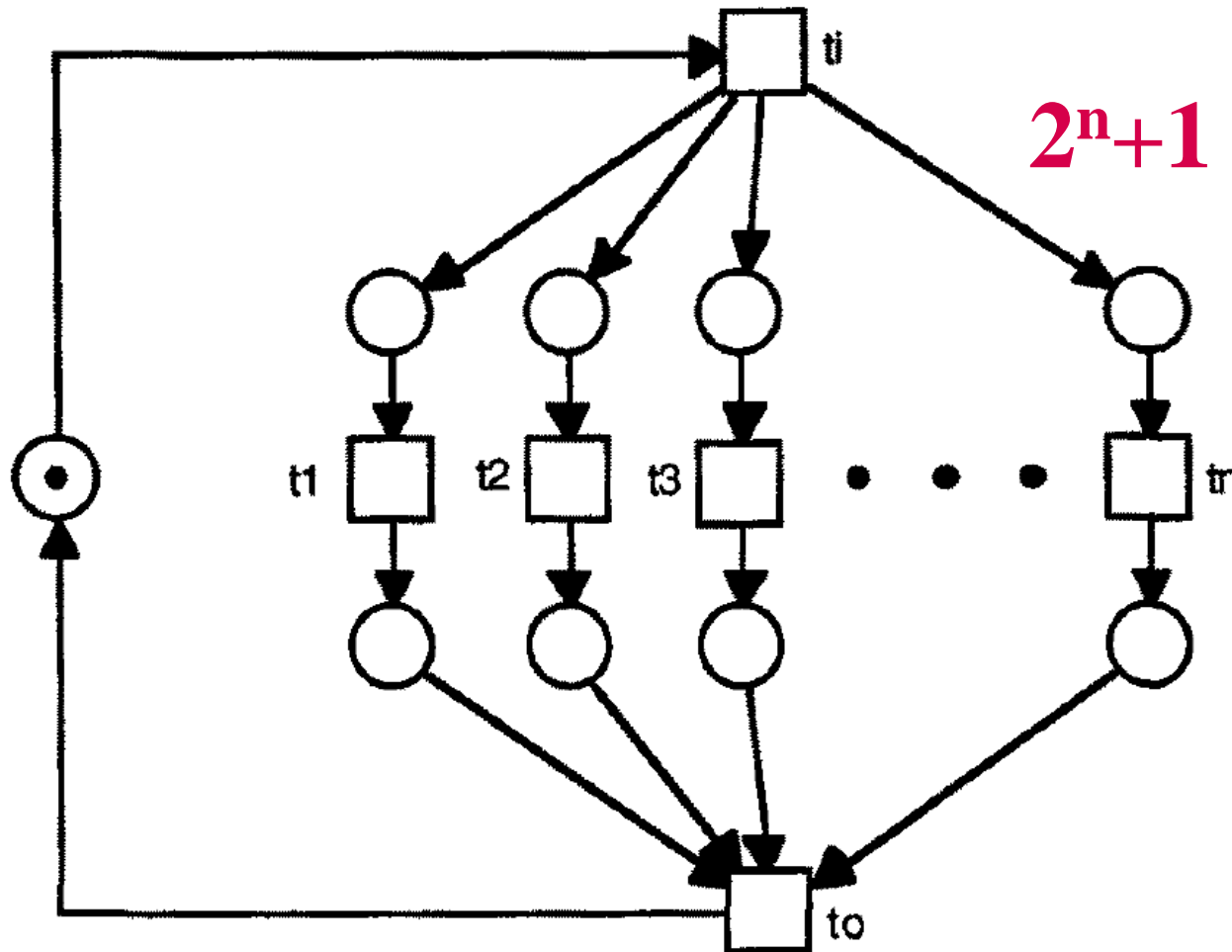


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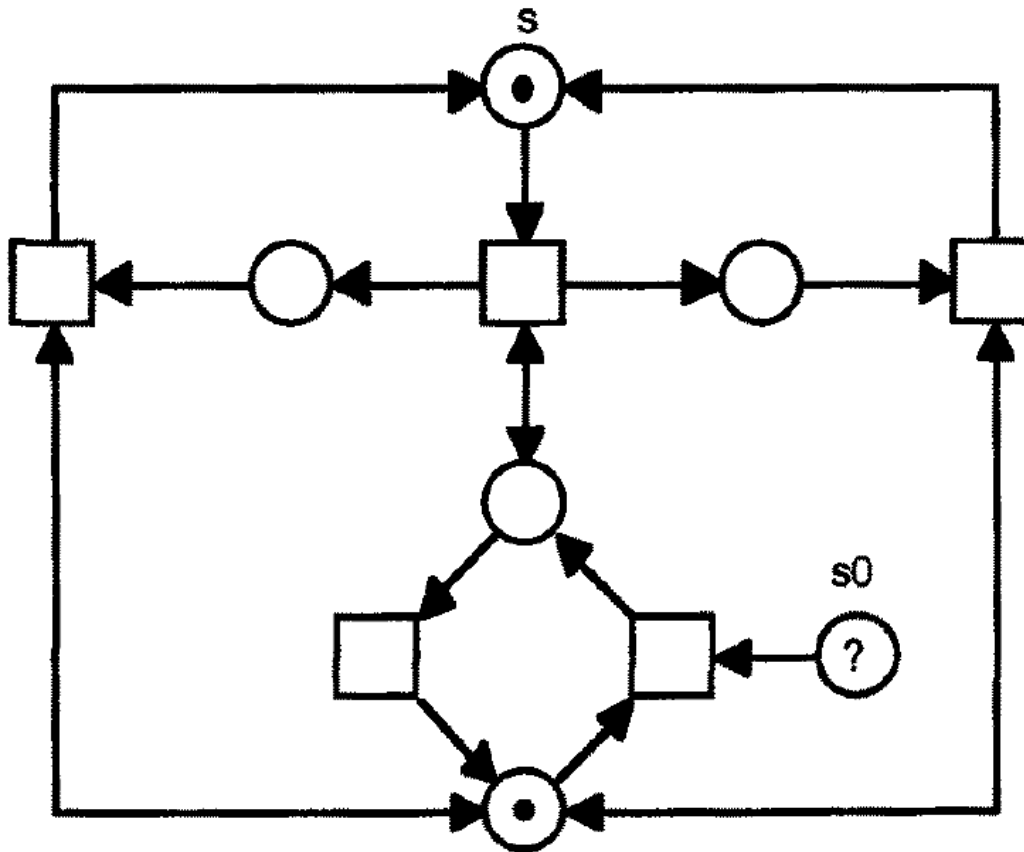
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State-explosion problem (1)



State-explosion problem (2)



**place s is
 2^n bounded**



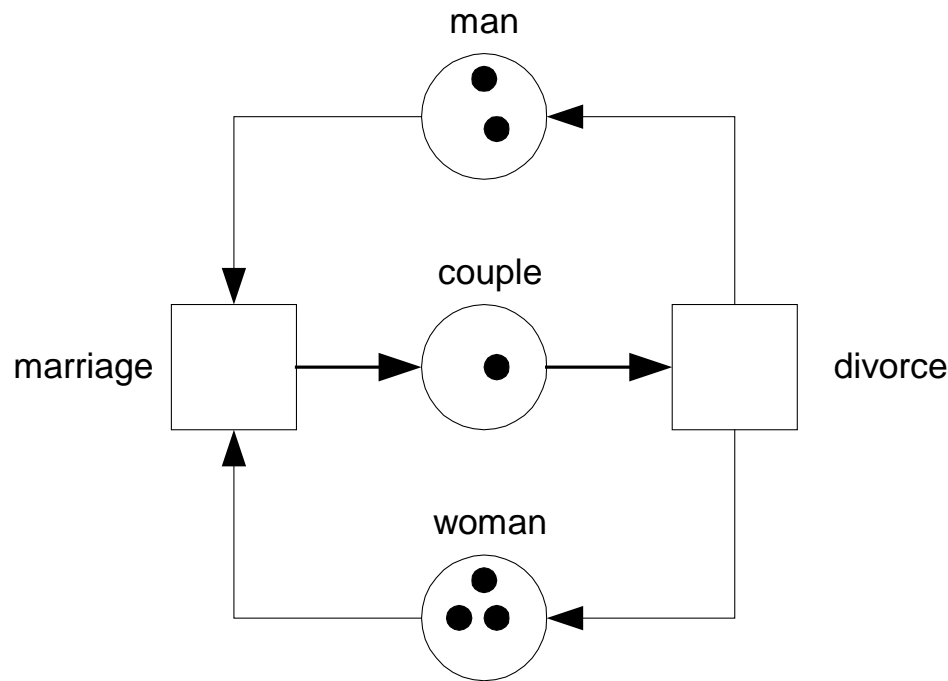
Concepts that can be used to (partially) address the problem ...

- **Place invariants**
- **Transition invariants**
- **Siphons and traps**
- **True concurrency semantics**
- **...**

Invariants

- **To avoid state-explosion problem and poor diagnostics.**
- **Properties independent of initial state.**
- **Place and transition invariants.**
- **Invariants can be computed using linear algebraic techniques.**

Place invariant

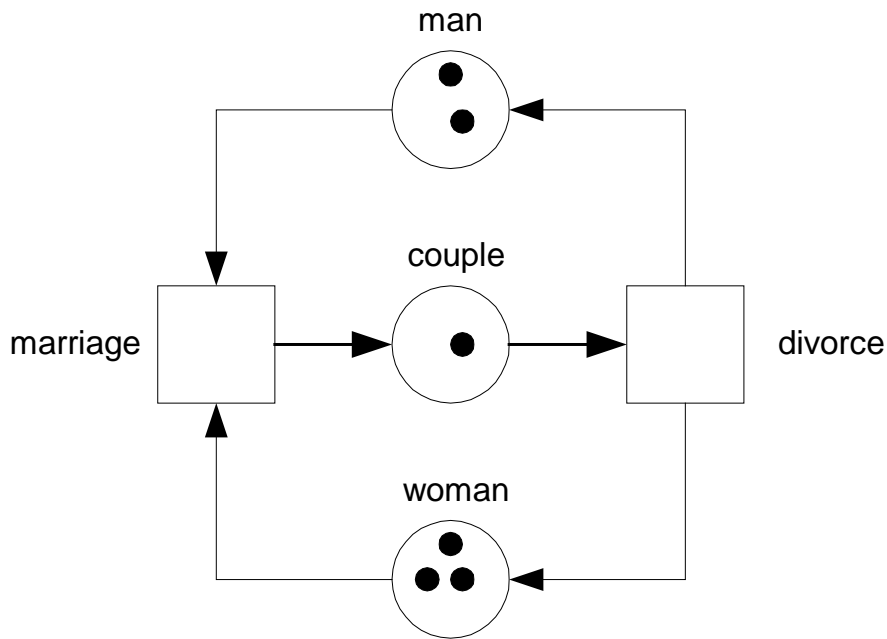


1 man + 1 woman + 2 couple

can also be denoted as (1,1,2)

- Assigns a weight to each place.
- The weight of a token depends on the weight of the place.
- The weighted token sum is *invariant*, i.e., no transition can change it

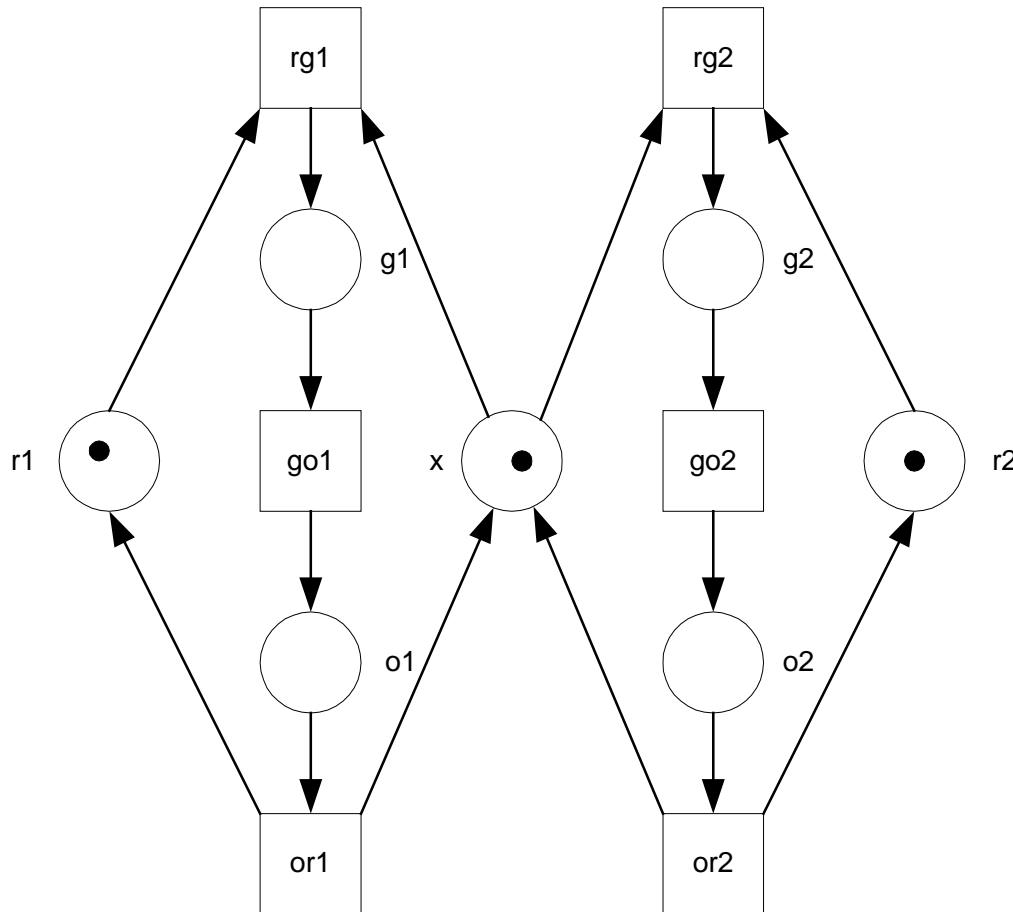
Other invariants



- 1 man + 0 woman + 1 couple
(Also denoted as: man + couple)
 - 2 man + 3 woman + 5 couple
 - -2 man + 3 woman + couple
 - man – woman
 - woman – man
- (Any linear combination of invariants is an invariant.)

man-woman can also be denoted as (1,-1,0)

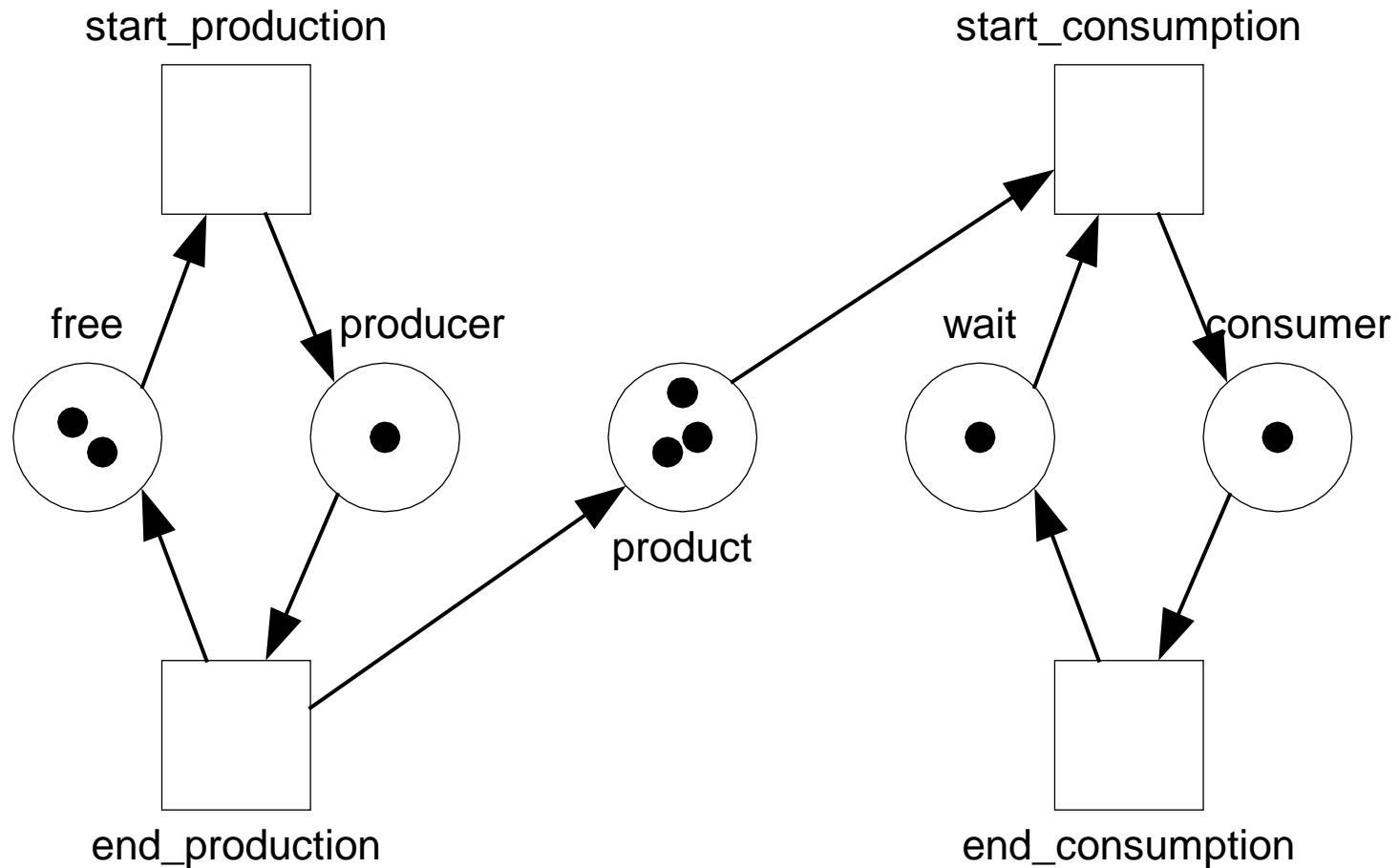
Example: traffic light



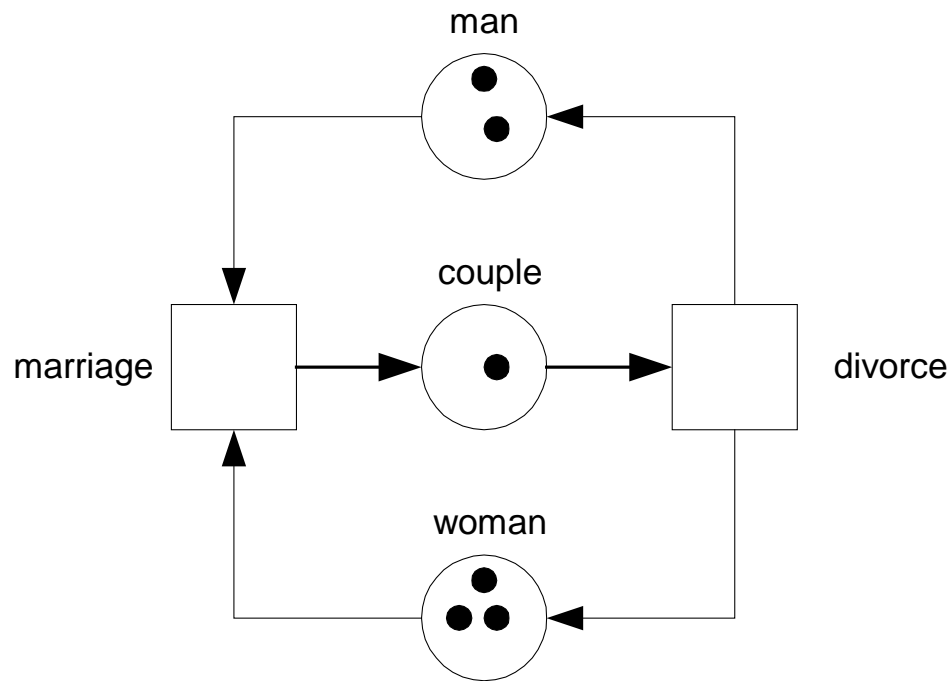
- $r_1 + g_1 + o_1$
- $r_2 + g_2 + o_2$
- $r_1 + r_2 + g_1 + g_2 + o_1 + o_2$
- $x + g_1 + o_1 + g_2 + o_2$
- $r_1 + r_2 - x$

r_1+r_2-x can also be denoted as $(1,0,0,1,0,0,-1)$

Exercise: Give place invariants



Transition invariant

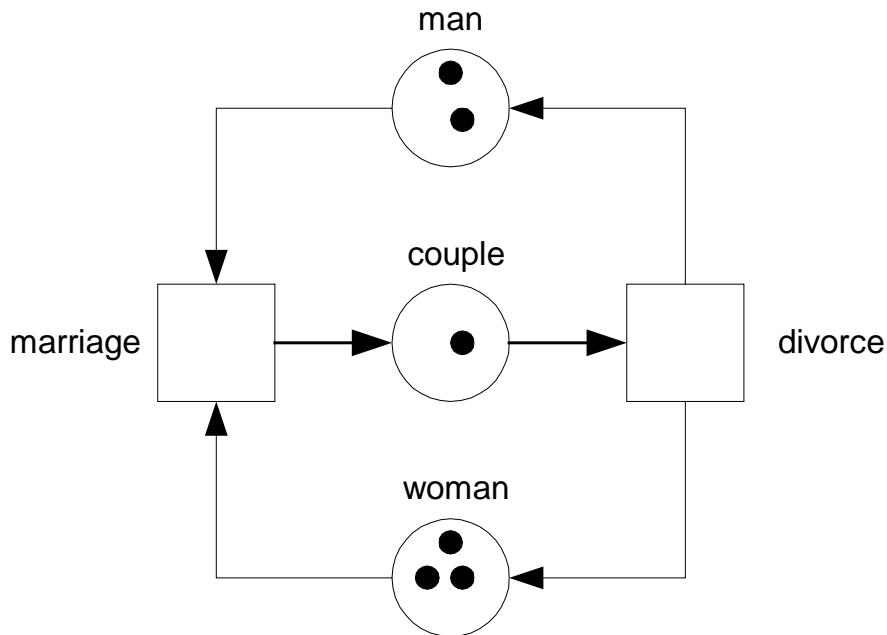


2 marriage + 2 divorce

can also be denoted as (2,2)

- Assigns a weight to each transition.
- If each transition fires the number of times indicated, the system is back in the initial state.
- I.e. transition invariants indicate *potential* firing sets without any net effect.

Other invariants

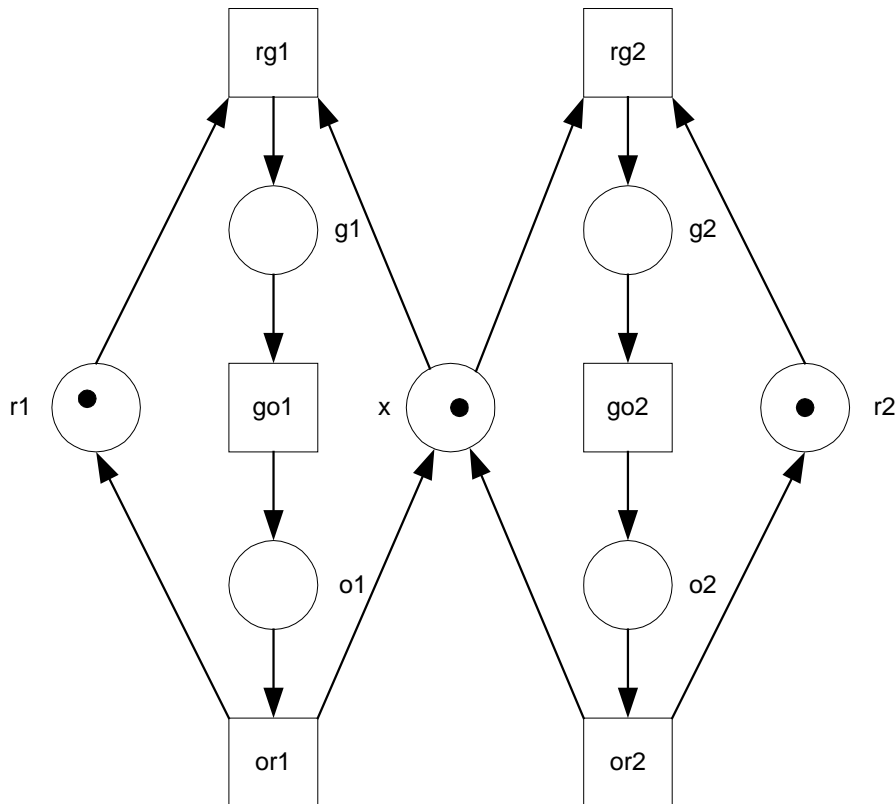


- **1 marriage + 1 divorce**
(Also denoted as: marriage + divorce)

- **20 marriage + 20 divorce**
Any linear combination of invariants is an invariant.

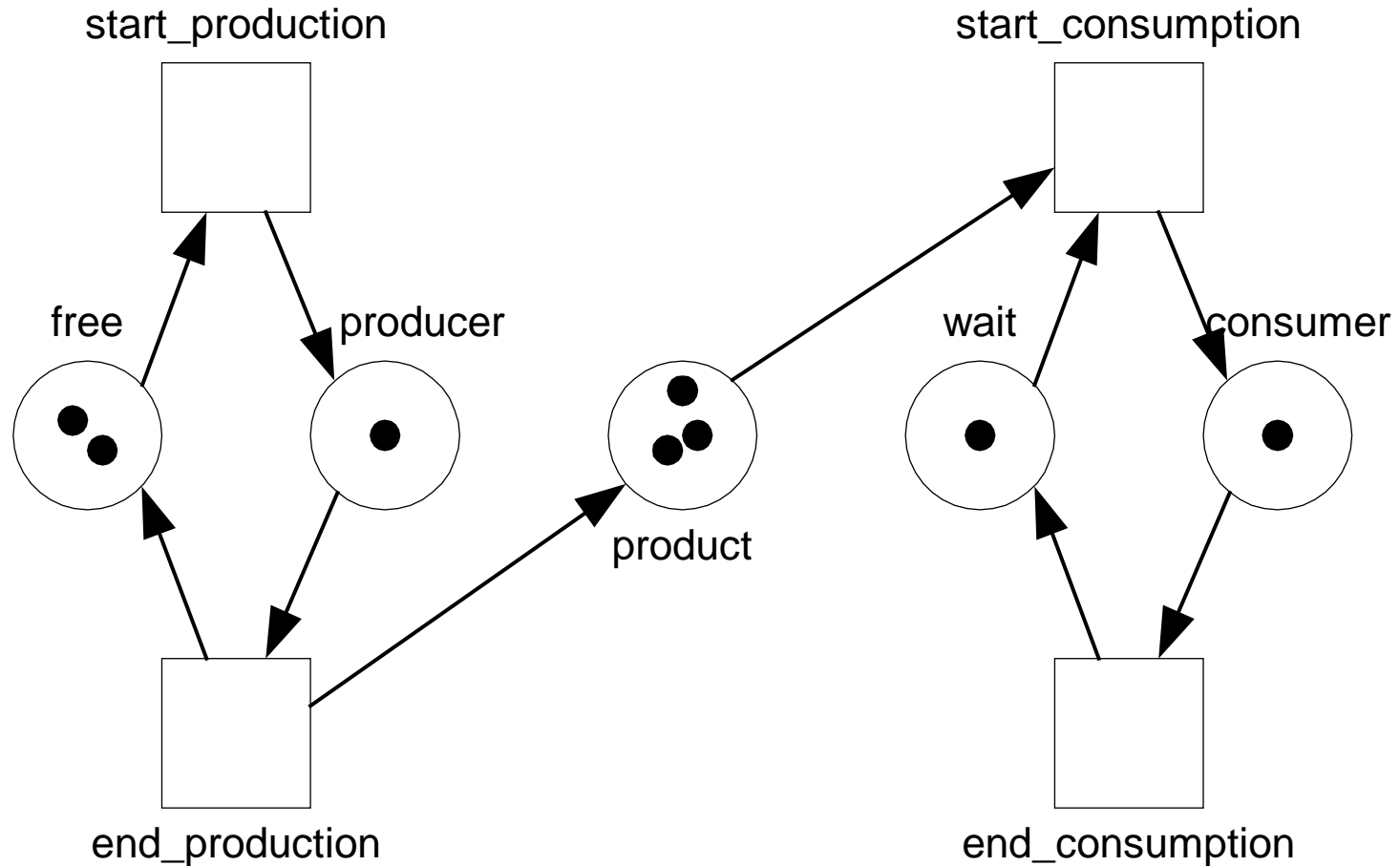
- Invariants may be not be *realizable*.
- There is not a simple interpretation for invariants with negative weights:
 - Backward firing
 - $t_1 - t_2$ (the effects coincide)
 - $t_1 + 2t_2 + t_3 - 2t_4 - t_5 - t_6$ (the effect of $t_1 + 2t_2 + t_3$ equals $2t_4 + t_5 + t_6$)

Example: traffic light

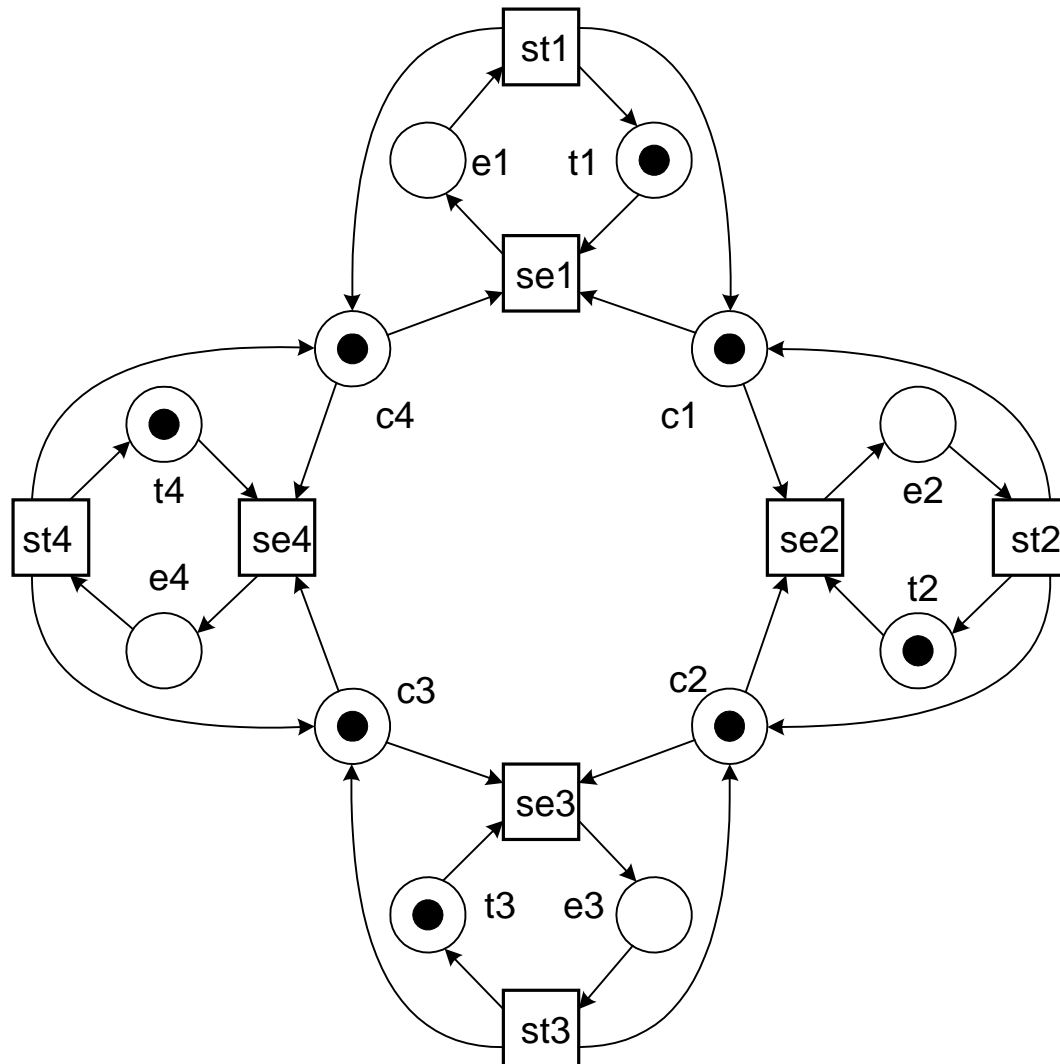


- $rg1 + go1 + or1$
- $rg2 + go2 + or2$
- $rg1 + rg2 + go1 + go2 + or1 + or2$
- $4 rg1 + 3 rg2 + 4 go1 + 3 go2 + 4 or1 + 3 or2$

Exercise: Give transition invariants



Exercise: four philosophers



- Give place invariants.
- Give transition invariants

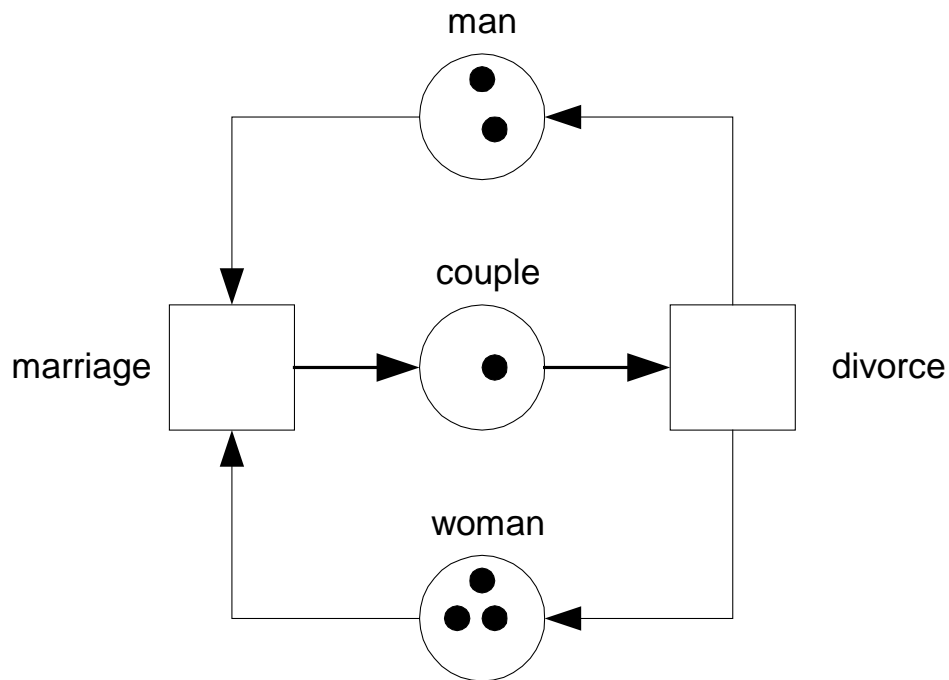
Two ways of calculating invariants

- **"Intuitive way":** Formulate the property that you think holds and verify it.
- **"Linear-algebraic way":** Solve a system of linear equations.

Humans tend to do it the intuitive way and computers do it the linear-algebraic way.

Incidence matrix of a Petri net: Old example

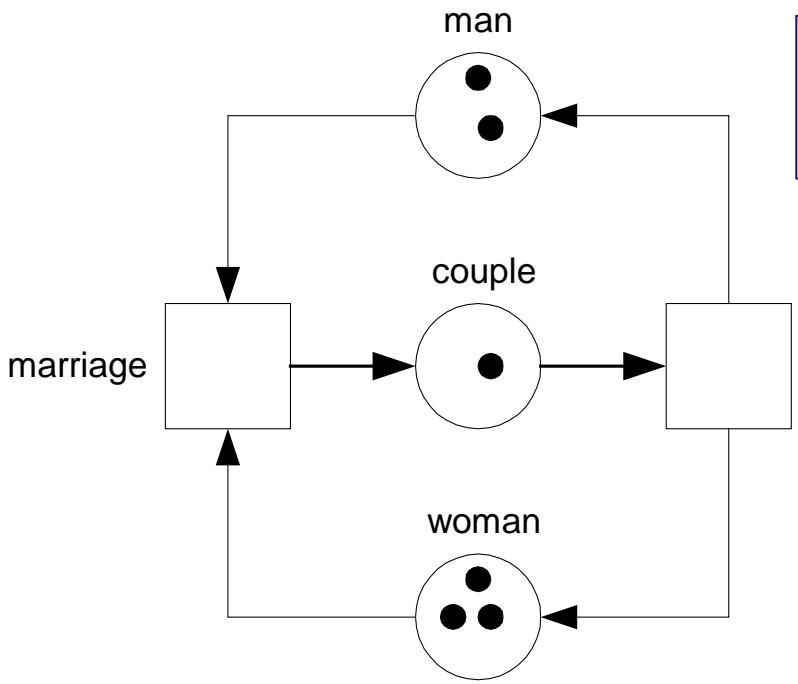
- Each row corresponds to a place.
- Each column corresponds to a transition.



$$N = \begin{pmatrix} -1 & 1 \\ -1 & 1 \\ 1 & -1 \end{pmatrix}$$

man

woman



divorce

$$N = \begin{pmatrix} -1 & 1 \\ -1 & 1 \\ 1 & -1 \end{pmatrix}$$

transitions

places

marriage

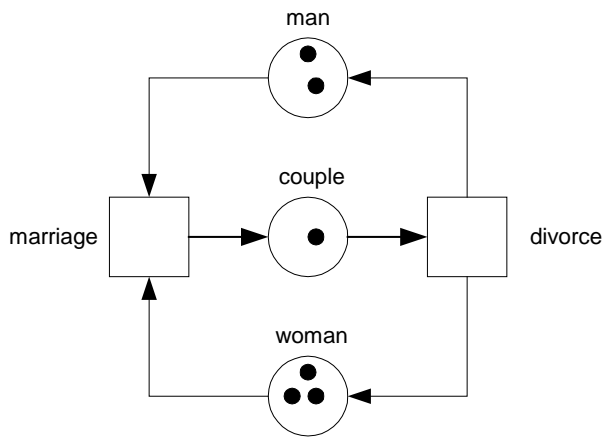
couple

divorce

Place invariant

- Let **N** be the incidence matrix of a net with n places (rows) and m transitions (columns), i.e., an $n \times m$ matrix.
- Any solution of the equation **$X.N = 0$** is a place invariant
 - **X** is a row vector (i.e., $1 \times n$ matrix)
 - **O** is a row vector (i.e., $1 \times m$ matrix)
- Note that $(0,0,\dots, 0)$ is always a place invariant.
- Basis can be calculated in polynomial time.

Example



$$X \begin{pmatrix} -1 & 1 \\ -1 & 1 \\ 1 & -1 \end{pmatrix} = (0,0)$$

Solutions for X:

- (0,0,0)
- (1,0,1)
- (0,1,1)
- (1,1,2)
- (1,-1,0)

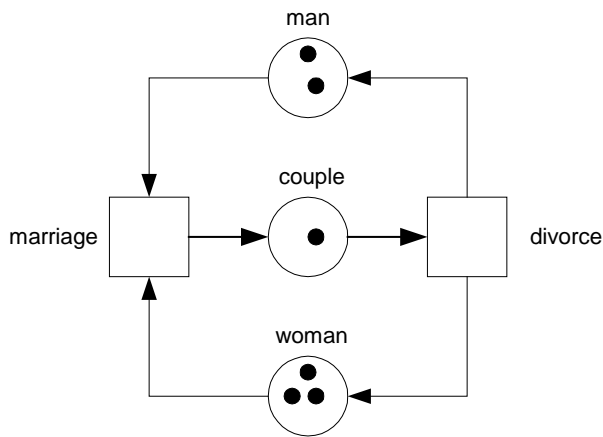
$$(man, woman, couple) \begin{pmatrix} -1 & 1 \\ -1 & 1 \\ 1 & -1 \end{pmatrix} = (0,0)$$

so $man * -1 + woman * -1 + couple * 1 = 0$ and
 $man * 1 + woman * 1 + couple * -1 = 0$

Transition invariant

- Let **N** be the incidence matrix of a net with n places and m transitions
- Any solution of the equation **$N \cdot X = 0$** is a transition invariant
 - **X** is a column vector (i.e., $m \times 1$ matrix)
 - **0** is a column vector (i.e., $n \times 1$ matrix)
- Note that $(0, 0, \dots, 0)^T$ is always a transition invariant.
- Basis can be calculated in polynomial time.

Example



$$\begin{pmatrix} -1 & 1 \\ -1 & 1 \\ 1 & -1 \end{pmatrix} X = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

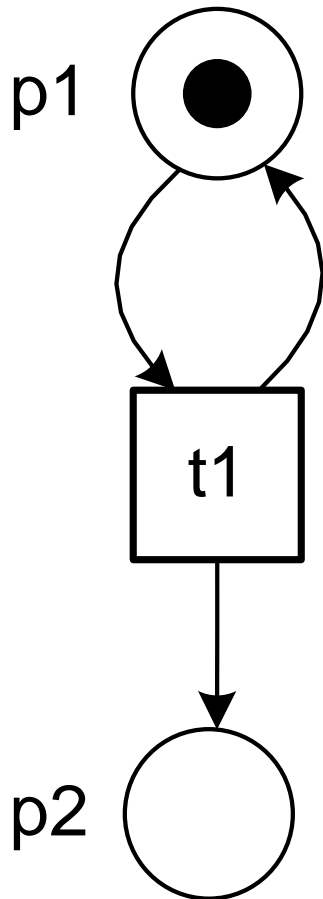
$$\begin{pmatrix} -1 & 1 \\ -1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} \textit{marriage} \\ \textit{divorce} \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

Solutions:

- $(0,0)^T$
- $(1,1)^T$
- $(32,32)^T$

so $-1 \cdot \textit{marriage} + 1 \cdot \textit{divorce} = 0$, $-1 \cdot \textit{marriage} + 1 \cdot \textit{divorce} = 0$, and $1 \cdot \textit{marriage} + -1 \cdot \textit{divorce} = 0$

Give place and transition invariants



place invariants

$$(p1, p2) \begin{pmatrix} 0 \\ 1 \end{pmatrix} = (0)$$

so $p1*0 + p2*1 = 0$

transition invariants

$$\begin{pmatrix} 0 \\ 1 \end{pmatrix} (t1) = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

so $0*t1 = 0$ and $1*t1 = 0$

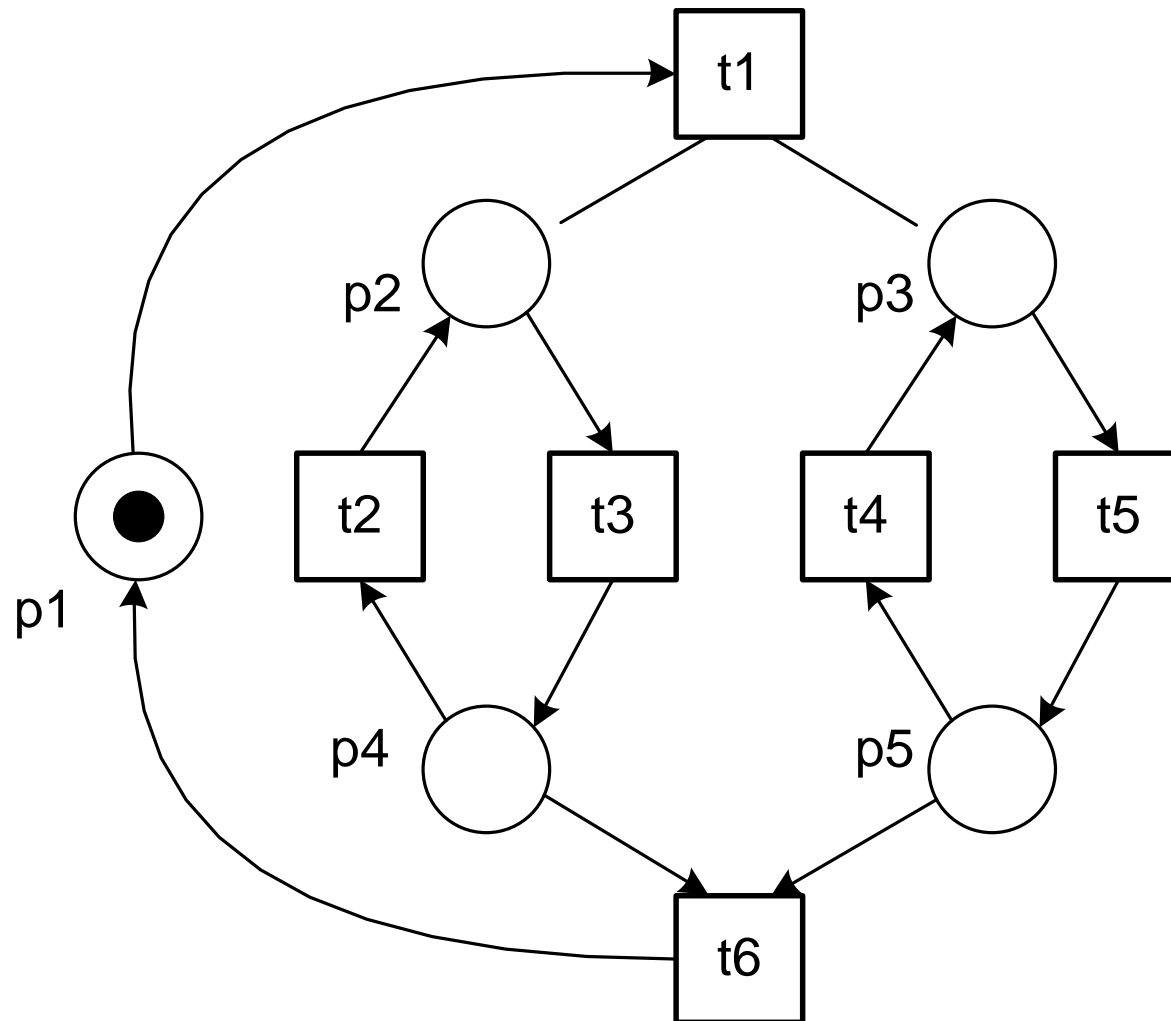
- **Solutions:**

- (1,0) (i.e., p1)
- (5,0) (i.e., 5 p1)

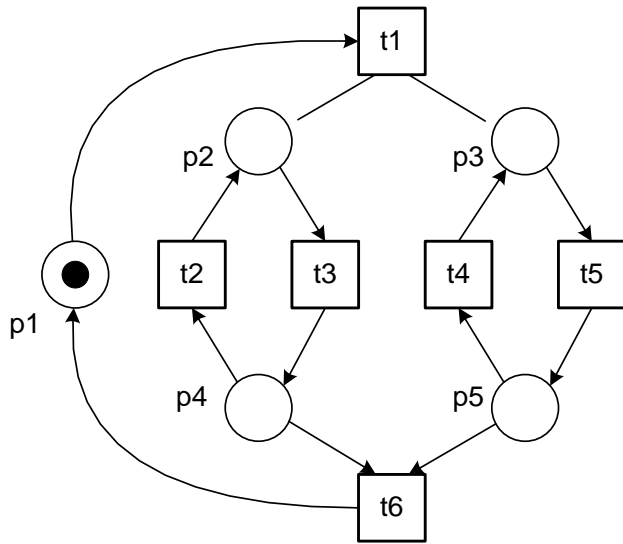
- **Solutions:**

- (0) (i.e., 0 t1)

Give place and transition invariants



Place invariants

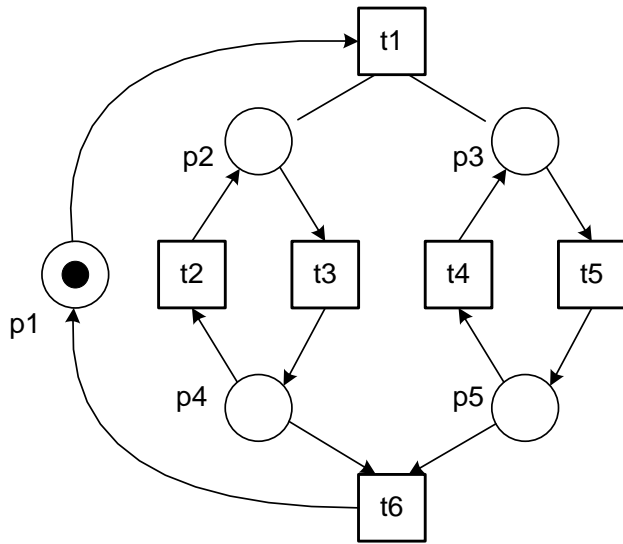


- **Solutions:**

- **(1,1,0,1,0)** (i.e., $p1+p2+p4$)
- **(1,0,1,0,1)** (i.e., $p1+p3+p5$)
- **(2,1,1,1,1)** (i.e., $2 p1+p2+p3+p4+p5$)
- **(6,5,1,5,1)**
- **....**

$$(p1, p2, p3, p4, p5) \begin{pmatrix} -1 & 0 & 0 & 0 & 0 & 1 \\ 1 & 1 & -1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & -1 & 0 \\ 0 & -1 & 1 & 0 & 0 & -1 \\ 0 & 0 & 0 & -1 & 1 & -1 \end{pmatrix} = (0,0,0,0,0,0)$$

Transition invariants



$$\begin{pmatrix} -1 & 0 & 0 & 0 & 0 & 1 \\ 1 & 1 & -1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & -1 & 0 \\ 0 & -1 & 1 & 0 & 0 & -1 \\ 0 & 0 & 0 & -1 & 1 & -1 \end{pmatrix} \begin{pmatrix} t1 \\ t2 \\ t3 \\ t4 \\ t5 \\ t6 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

• Solutions:

- $(1,0,1,0,1,1)^T$ (i.e., $t1+t3+t5+t6$)
- $(0,1,1,0,0,0)^T$ (i.e., $t2+t3$)
- $(0,0,0,1,1,0)^T$ (i.e., $t4+t5$)
- $(1,1,2,1,2,1)^T$ (i.e., $t1+t2+2t3+t4+2t5+t6$)
-

Place invariant

(Formalization based on [1])

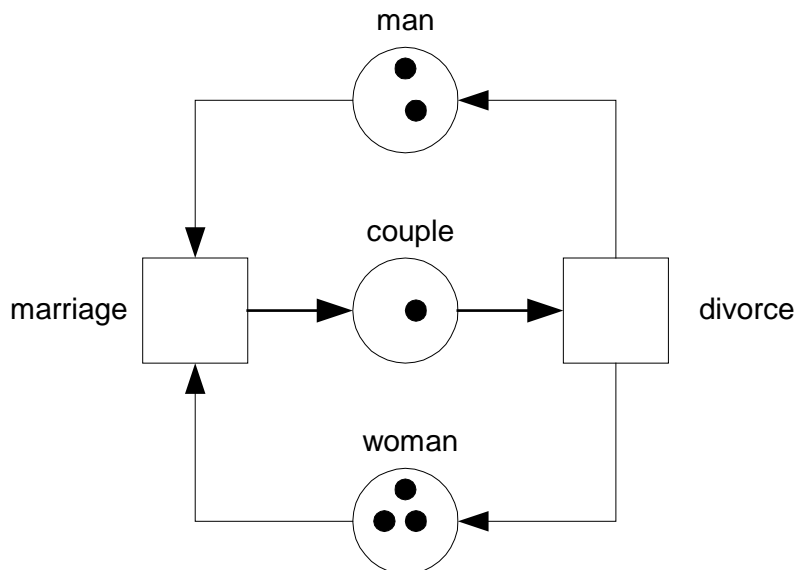
weight consumed

weight produced

Given a net N , a *place invariant* is a mapping $i: S_N \rightarrow \mathbb{Z}$ satisfying $i(s) \neq 0$ for finitely many places and

$$\sum_{s \in {}^*t} i(s) = \sum_{s \in t^*} i(s)$$

for each transition t of N . A place invariant is *nonnegative* if it maps no place to a negative number.



$$i(\text{man})=1, i(\text{couple})=1, i(\text{woman})=0$$

$$i(\text{man})=1, i(\text{couple})=2, i(\text{woman})=1$$

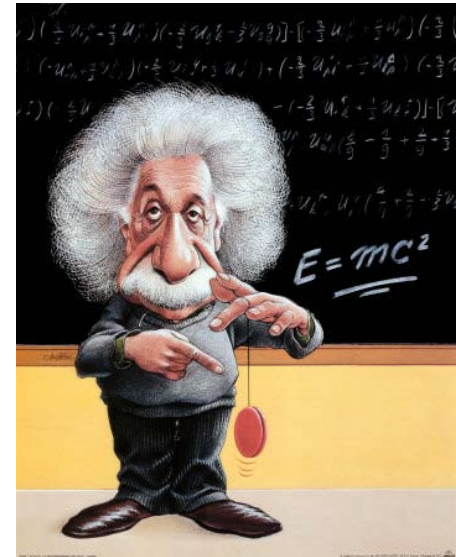
$$i(\text{man})=1, i(\text{couple})=0, i(\text{woman})=-1$$

Implication

Theorem 29. *If m is a reachable marking of a marked net with initial marking m_0 and i is a place invariant then*

$$\sum_{s \in S_N} i(s) \cdot m(s) = \sum_{s \in S_N} i(s) \cdot m_0(s).$$

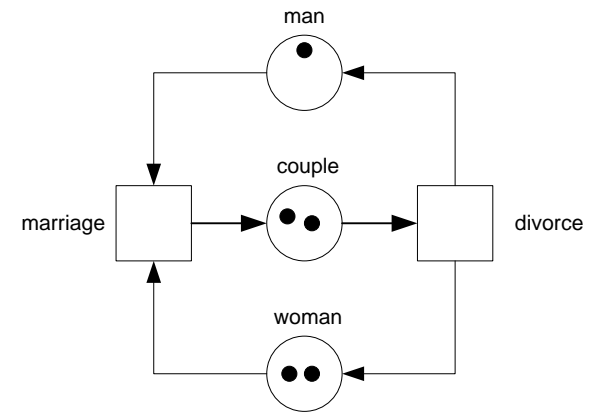
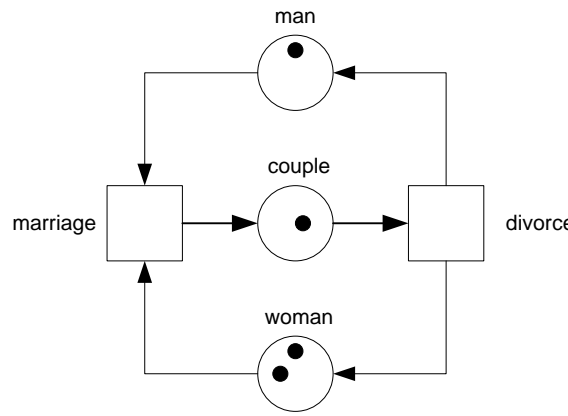
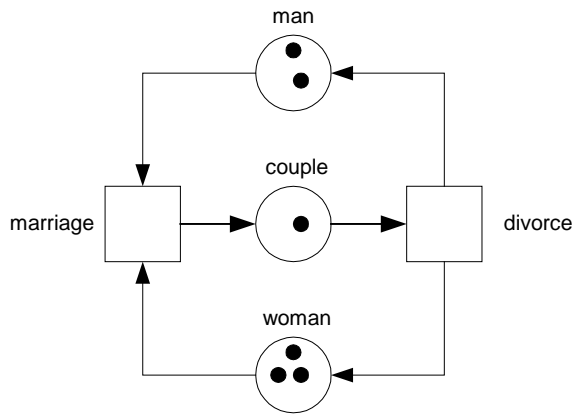
- **Conservation law!**
- **Can be used to show unreachability but not the reverse.**



Example

not reachable

reachable



$$i(\text{man})=1, i(\text{couple})=1, i(\text{woman})=0$$

$$1*2+1*1+0*3 = 3$$

$$1*1+1*1+0*2 = 2$$

$$1*1+1*2+0*2 = 3$$

$$i(\text{man})=1, i(\text{couple})=2, i(\text{woman})=1$$

$$1*2+2*1+1*3 = 7$$

$$1*1+2*1+1*2 = 5$$

$$1*1+2*2+1*2 = 7$$

$$i(\text{man})=1, i(\text{couple})=0, i(\text{woman})=-1$$

$$1*2+0*1+-1*3 = -1$$

$$1*1+0*1+-1*2 = -1$$

$$1*1+0*2+-1*2 = -1$$

one direction only!

Some properties

Theorem 30. Assume a marked net N without dead transitions. Let m_0 be the initial marking. Let $i: S_N \rightarrow \mathbb{Z}$. If each reachable marking m satisfies

$$\sum_{s \in S_N} i(s) \cdot m(s) = \sum_{s \in S_N} i(s) \cdot m_0(s)$$

then i is a place invariant.

Theorem 31. Let s be a place of a marked net N with initial marking m_0 . If there is a nonnegative place invariant i satisfying $i(s) \geq 1$ then s is bounded by

$$\frac{1}{i(s)} \cdot \sum_{s' \in S_N} i(s') \cdot m_0(s').$$

move all weight to s ...

Some more properties

Corollary 32. *A finite marked net is bounded if it has a place invariant i that maps all places to positive numbers.*

“if”, not “if and only if” ...

Theorem 33. *Let N be a marked net with initial marking m_0 and let i be a nonnegative place invariant. Let S_i be the set of places s satisfying $i(s) > 0$. If $m_0(s) = 0$ for each place in S_i then every transition in ${}^{\bullet}S_i \cup S_i^{\bullet}$ is dead at the initial marking.*

remains empty ...

Transition invariants

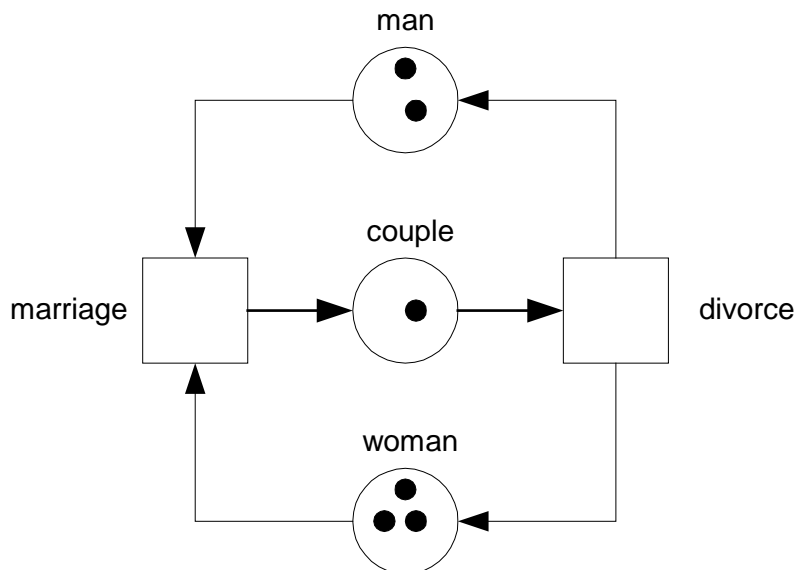
tokens produced for s

tokens consumed from s

Given a net N , a *transition invariant* is a mapping $j: T \rightarrow \mathbb{Z}$ satisfying $j(t) \neq 0$ for finitely many transitions and

$$\sum_{t \in s^\bullet} j(t) = \sum_{t \in s^\circ} j(t)$$

for each place s of N .



$$j(\text{marriage})=1, j(\text{divorce})=1$$

$$j(\text{marriage})=5, j(\text{divorce})=5$$

Some properties

Let σ be a finite sequence of transitions of a net N . The *Parikh mapping* $p_\sigma: T_N \rightarrow \mathbb{Z}$ maps each transition t to the number of occurrences of t in σ .

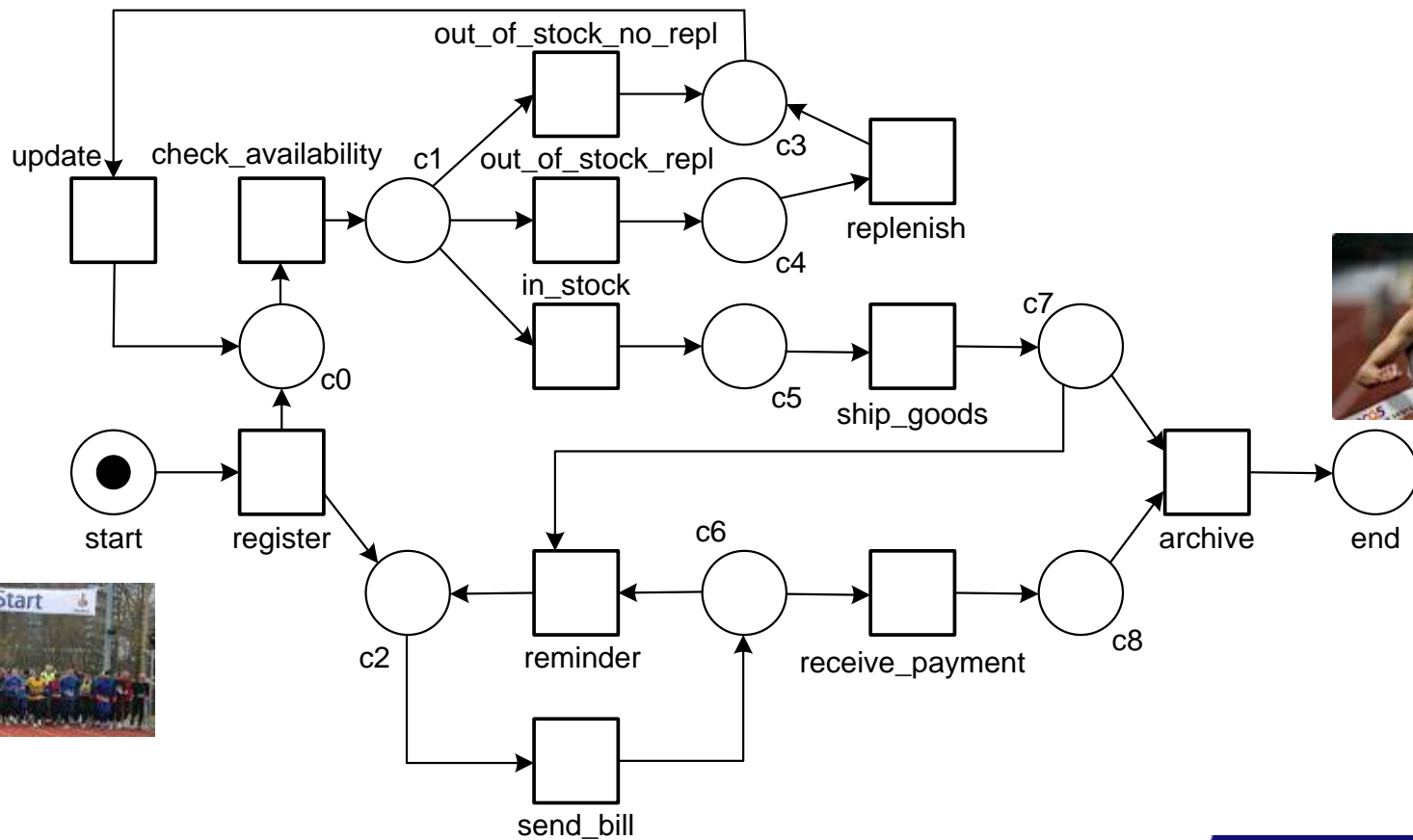
Theorem 35. *If $m \xrightarrow{\sigma} m'$ is a finite occurrence sequence of a net then $m = m'$ if and only if the Parikh mapping p_σ is a transition invariant.*

Corollary 37. *If a finite marked net is live and bounded then it has a transition invariant that maps each transitions to a positive number.*

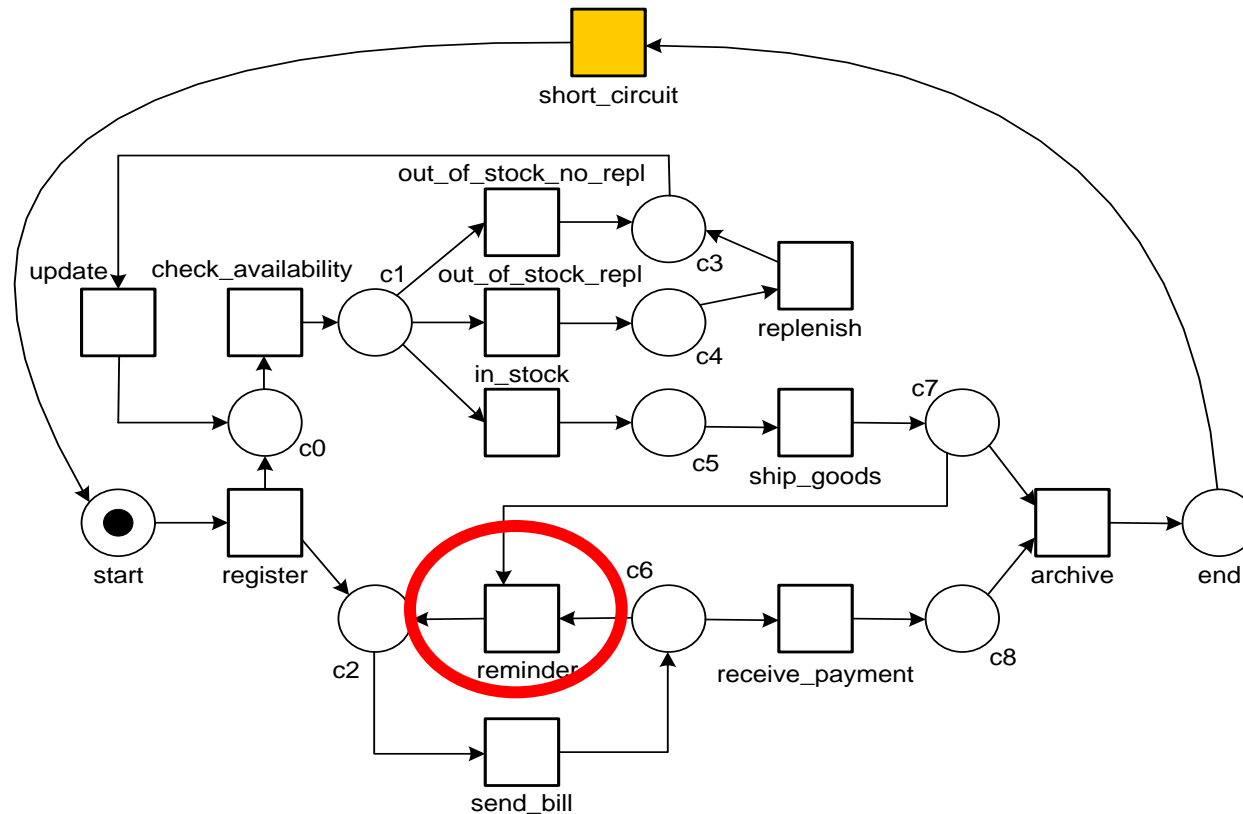
Typical scenario

1. **Make model cyclic (if needed)**
2. **If particular transitions are not covered by any positive transition invariants, then this reveals a possible problem.**

Correct?

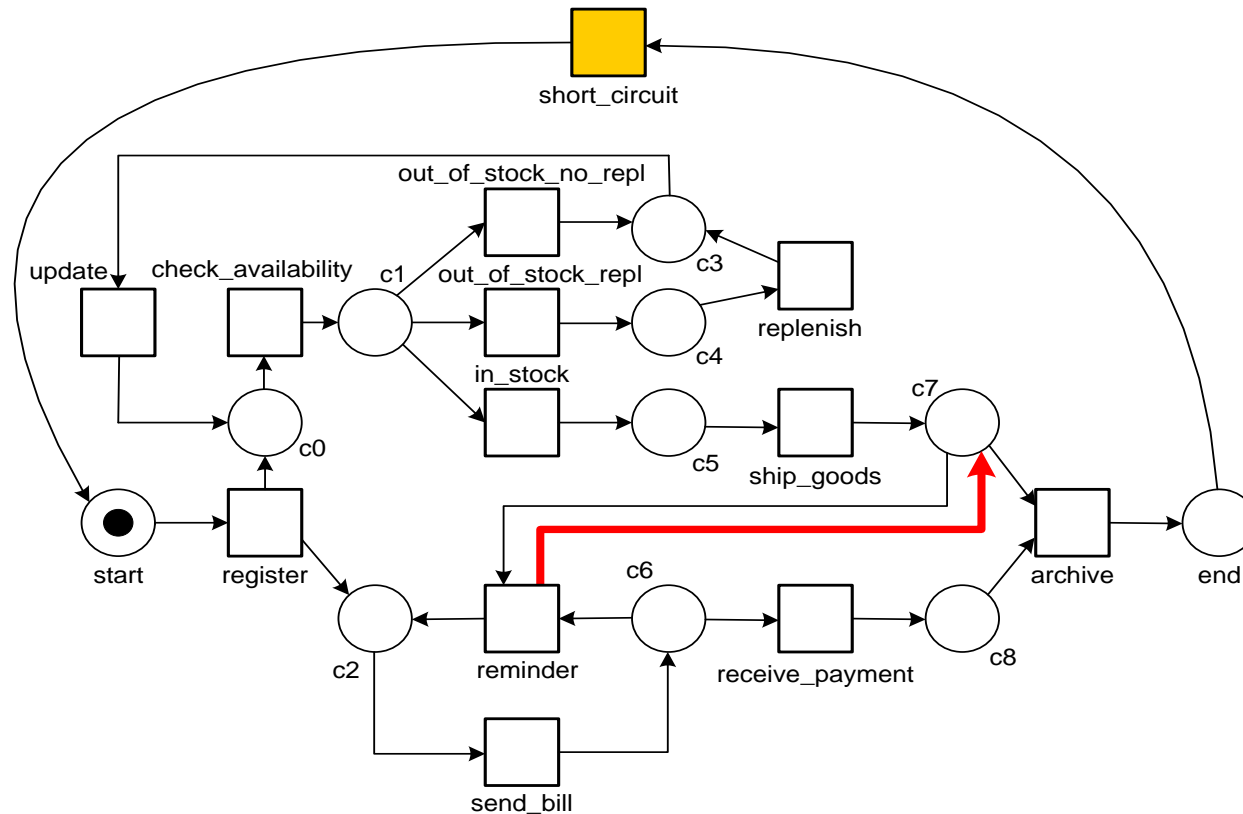


Short-circuit



not covered by any positive transition invariant

Repair



now, all transitions are covered by a positive transition invariant

Siphons and Traps



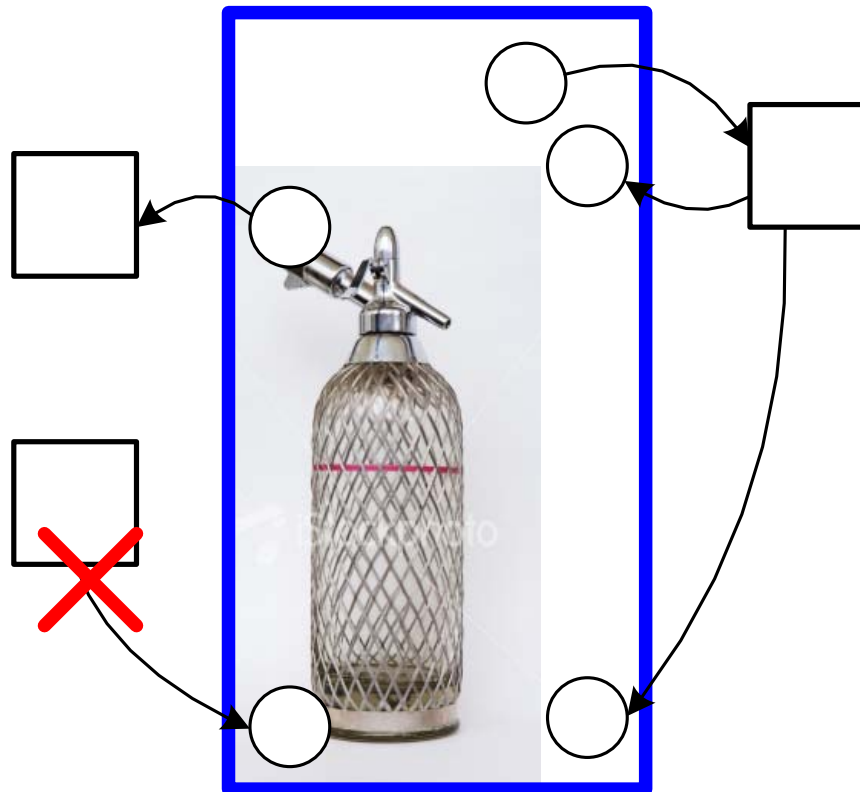
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University of Technology

Where innovation starts

Siphon

(Formalization based on Desel and Reisig)

A *siphon* is a set S of places satisfying $\bullet S \subseteq S^\bullet$. A siphon is *marked* by a marking m if at least one place of it is marked at m .



“transitions that add a token to the siphon also remove a token”

Behavior of siphons: Once unmarked always unmarked

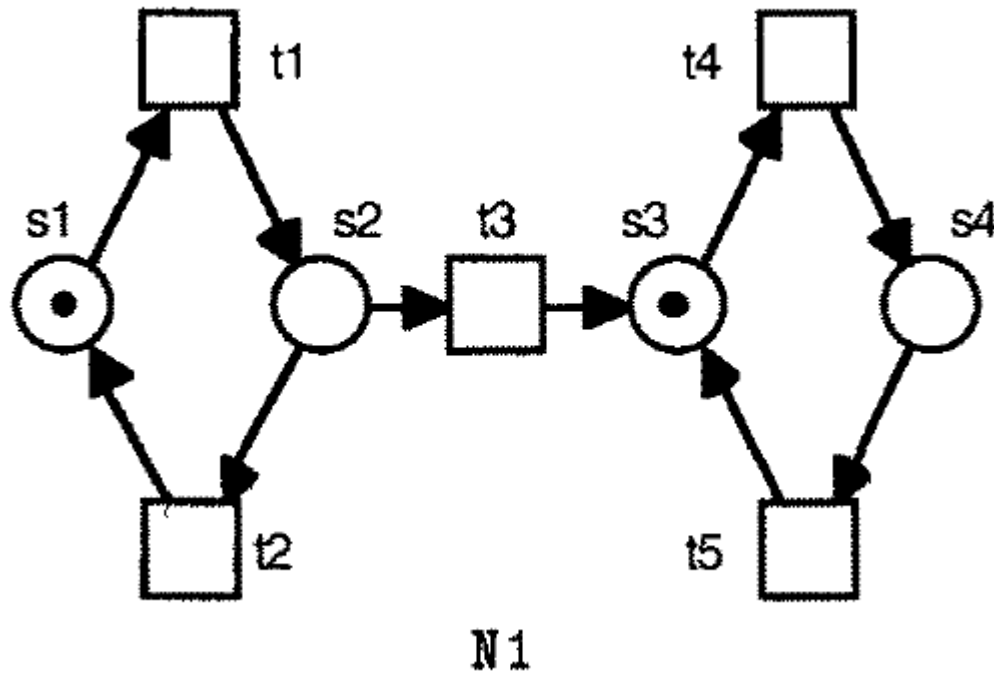
Theorem 38. *Assume a marked net with a siphon S . If S is not marked at the initial marking then S is not marked at any reachable marking.*

Proof. We apply Lemma 28 to show that every reachable marking marks no place of S .

Let M be the set of markings that do not mark S . By assumption, the initial marking is in M . Assume a marking m in M and a transition occurrence $m \xrightarrow{t} m'$. Then $t \notin S^\bullet$ because m enables t and m marks no place in S . Since S is a siphon, this implies $t \notin {}^\bullet S$. Hence no place of S can gain a token by the occurrence of t and m' belongs to M , too.

So, by Lemma 28, M includes all reachable markings, which implies the result. \square

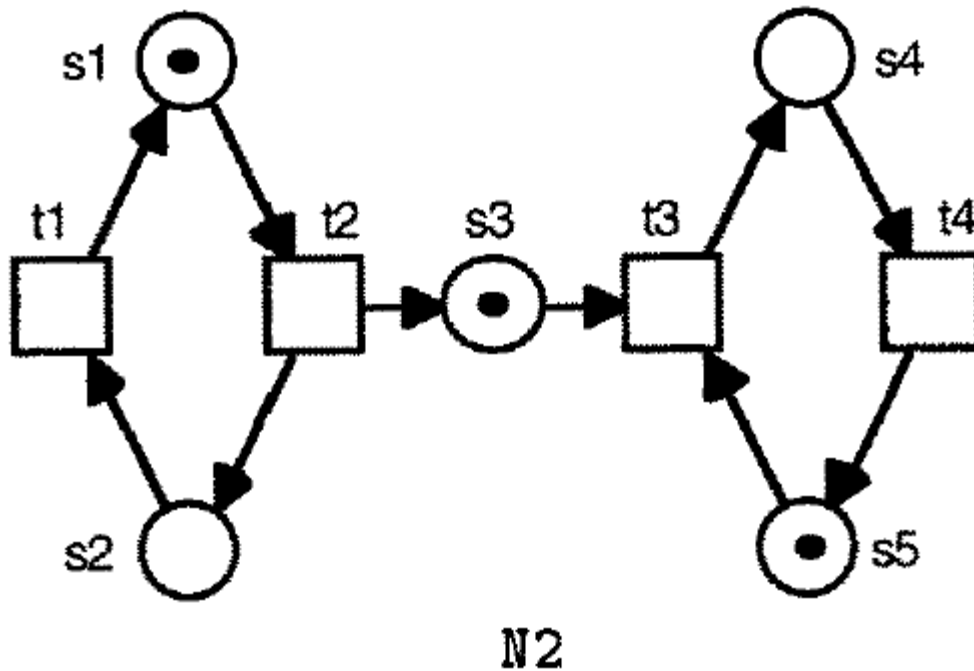
Siphons



“transitions that add a token to the siphon also remove a token”

$\emptyset, \{s_1, s_2\}, \{s_1, s_2, s_3, s_4\}$

Siphons



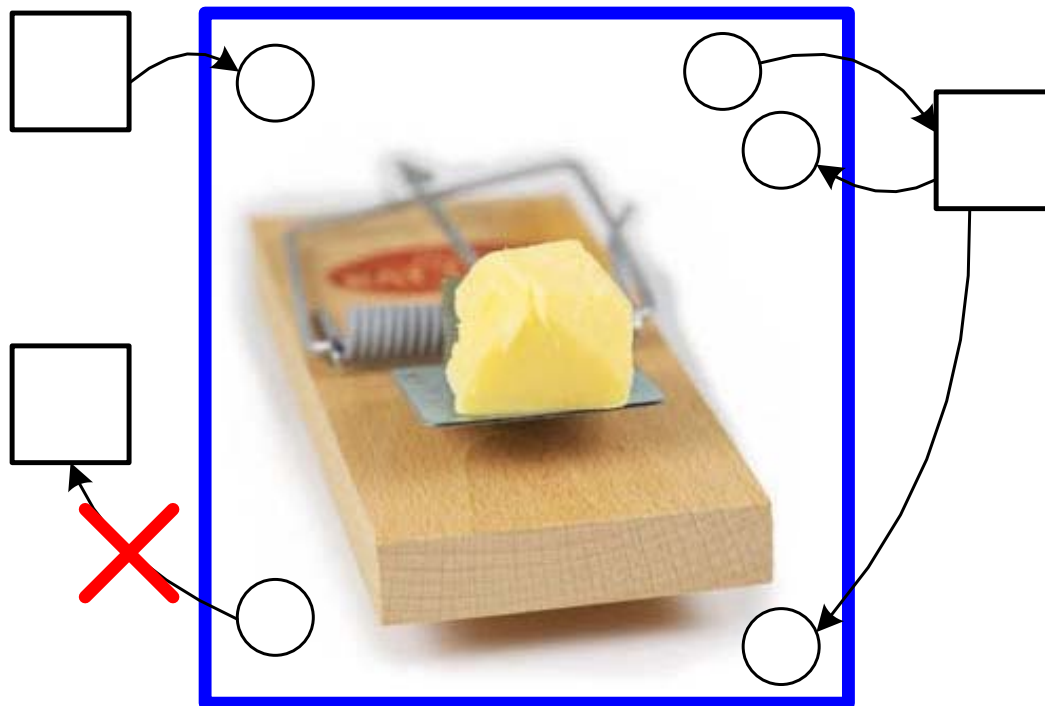
“transitions that add a token to the siphon also remove a token”

the union of some siphons is again a siphon

$\emptyset, \{s_1, s_2\}, \{s_1, s_2, s_3\}, \{s_1, s_2, s_3, s_4\}, \{s_1, s_2, s_3, s_4, s_5\}, \{s_4, s_5\}$

Trap

A *trap* is a set S of places satisfying $S^\bullet \subseteq \bullet S$. A trap is *marked* by a marking m if at least one place of it is marked at m .



“transitions that remove a token from the trap also add a token”

Behavior of traps: Once marked always marked

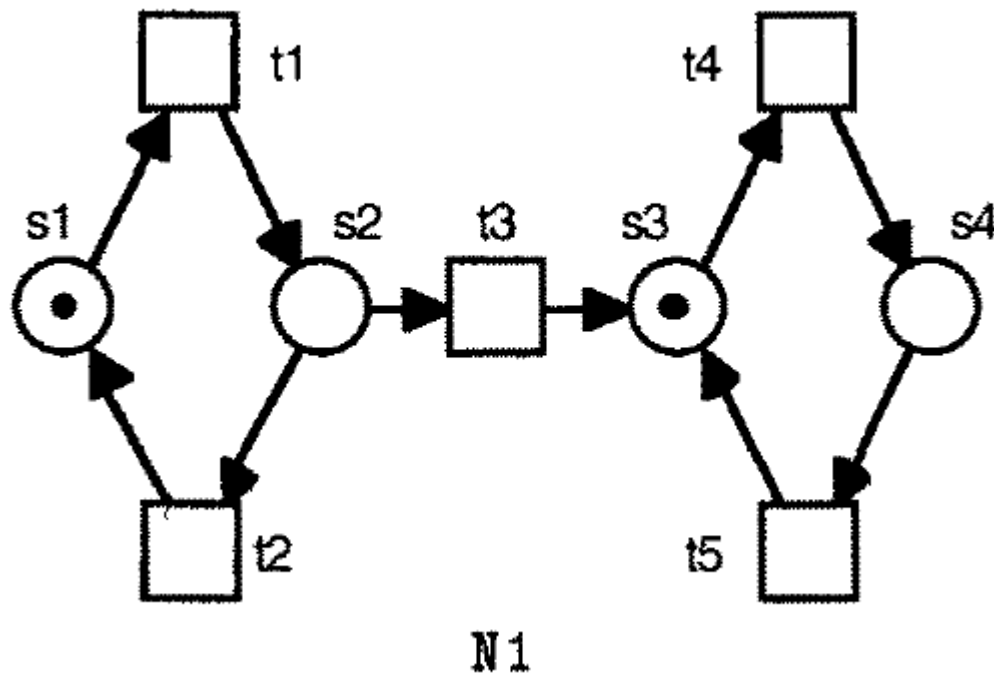
Theorem 40. *Assume a marked net with a trap S . If S is marked at the initial marking then it is marked at every reachable marking.*

Proof. We apply Lemma 28.

Let M be the set of markings of the net that mark at least one place of S . By assumption, the initial marking is in M . Now assume a marking m in M and a transition occurrence $m \xrightarrow{t} m'$. If $t \notin S^\bullet$ then the place of S marked by m remains marked. If $t \in S^\bullet$ then $t \in {}^\bullet S$ because S is a trap. Hence, in this case at least one place in $t^\bullet \cap S$ is marked at m' .

So, by Lemma 28, M includes all reachable markings, which implies the result. \square

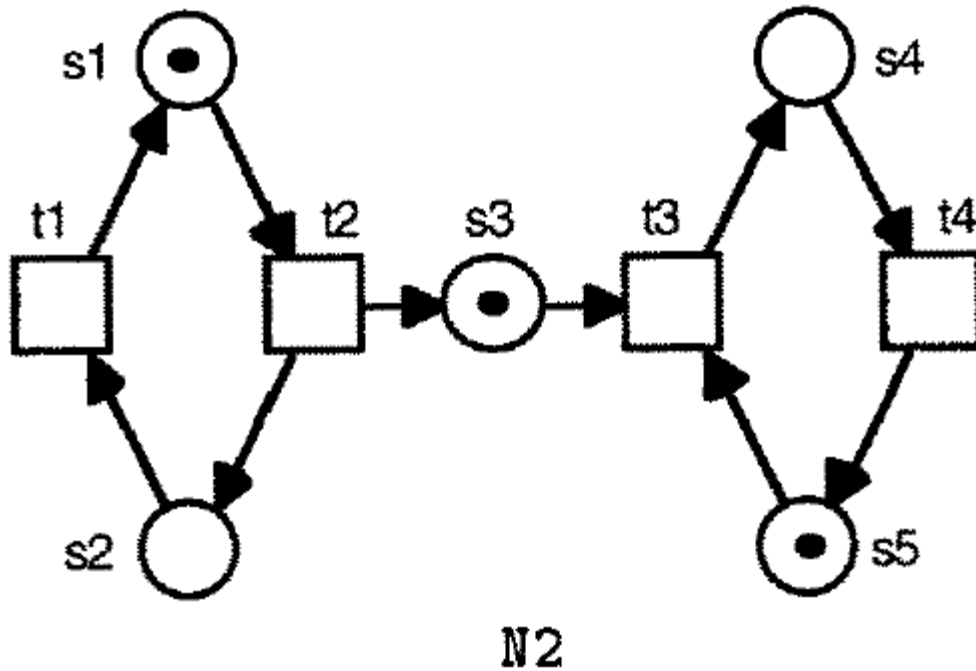
Traps



“transitions that remove a token from the trap also add a token”

$\emptyset, \{s_3, s_4\}, \{s_1, s_2, s_3, s_4\}$

Traps



“transitions that remove a token from the trap also add a token”

the union of some traps is again a trap

$\emptyset, \{s_1, s_2\}, \{s_1, s_2, s_3, s_4, s_5\}, \{s_2, s_3, s_4, s_5\}, \{s_3, s_4, s_5\}, \{s_4, s_5\}$

find error (also in paper)

Main Theorem: Sufficient condition for deadlock-freedom

Theorem 42. *Assume a marked net with at least one transition. If each non-empty siphon without isolated places includes a trap marked at the initial marking then the marked net is deadlock-free.*

Proof. Assume that the marked net is not deadlock-free and let m be a dead reachable marking. Let S be the set of non-isolated places that are not marked at m . We show that S is a non-empty siphon that includes no initially marked trap.

Each transition t is dead at m and hence has an unmarked input place. So S^\bullet contains the set of all transitions. Therefore, ${}^\bullet S \subseteq S^\bullet$. S is not empty because the net has some transition by assumption and S contains a place in the pre-set of this transition. So S is a non-empty siphon without isolated places. By definition, S is not marked at m . Hence, S includes no trap marked at m . Since initially marked traps remain marked, S includes no trap marked at the initial marking. \square

If every proper siphon of a system includes an initially marked trap, then the system is deadlock free.

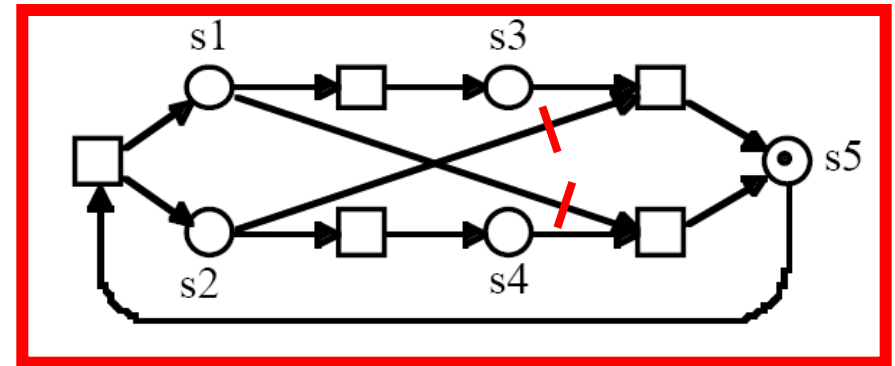
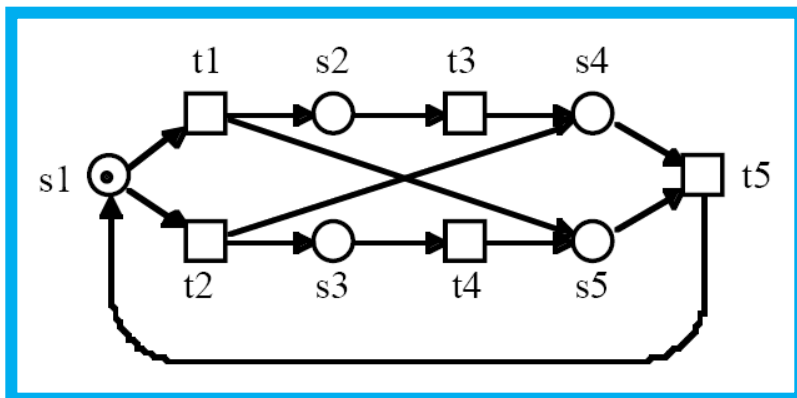


all siphons

**some
transition is
enabled
at any time**

Intermezzo: Commoner's Theorem (1972)

A free-choice system is live if and only if every proper siphon includes an initially marked trap.



Conclusion



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Where innovation starts

After this lecture you should be able to:

- **Determine whether a transition in a marked net is impartial, fair, or just, both by hand and by using CPN Tools.**
- **Construct nets that have transitions that satisfy certain fairness properties, e.g., a net containing impartial, fair, and just transitions.**
- **Understand the importance and role of invariants.**
- **Provide meaningful place invariants for a given net.**
- **Provide meaningful transition invariants for a given net.**
- **Understand the matrix representation of nets and invariants.**
- **Understand siphons and traps.**
- **Provide siphons and traps for a given net.**
- **Derive conclusions from the presence or absence of particular siphons and traps (e.g., deadlock-freedom).**